

Electron Holography

16. Electron Holography

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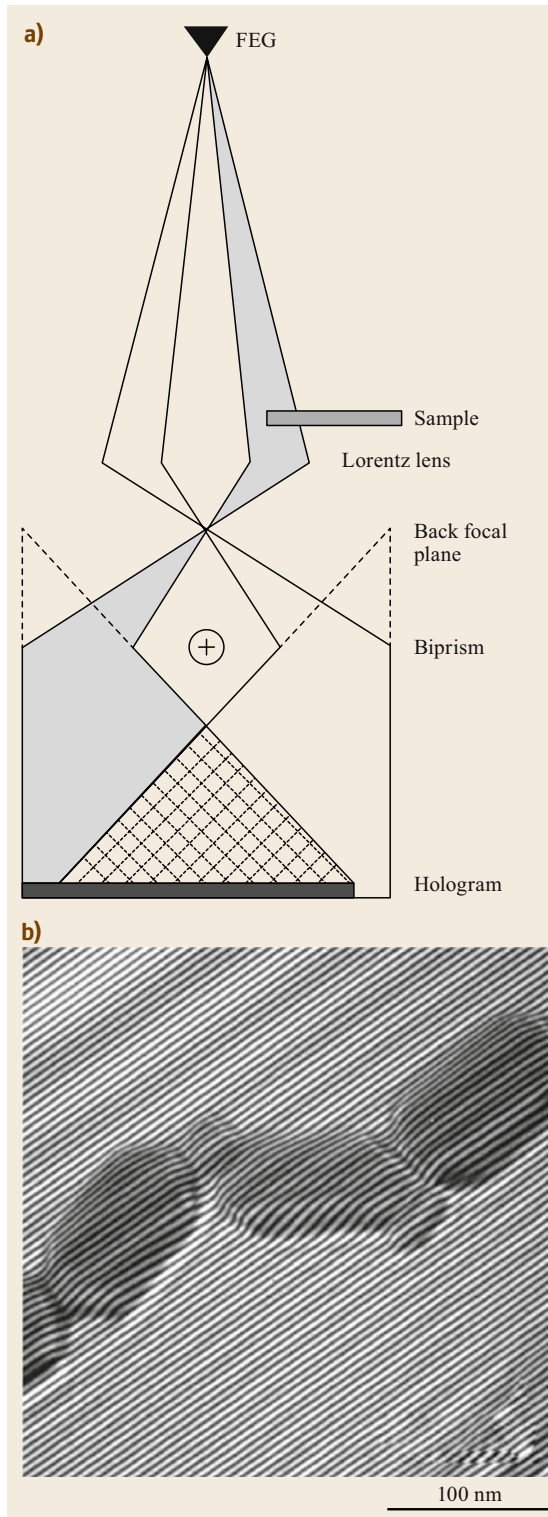
Electron holography is a powerful technique that allows the phase shift of a high-energy electron wave that has passed through a specimen in the transmission electron microscope to be measured directly. The phase shift can then be used to provide quantitative information about local variations in magnetic field and electrostatic potential both within and surrounding the specimen. This chapter begins with an outline of the experimental procedures and theoretical background that are needed to obtain phase information from electron holograms. It then presents recent examples of the application of electron holography to the characterization of magnetic domain structures and electrostatic fields in nanoscale materials and working devices, including arrangements of closely spaced nanocrystals, patterned elements and nanowires, and electrostatic fields in field emitters and doped semiconductors. The advantages of using digital approaches to record and analyze electron holograms are highlighted. Finally, high-resolution electron holography, alternative modes of electron holography and future prospects for the development of the technique are briefly outlined.

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16.1 Introduction to Electron Holography

Electron holography, as originally described by *Gabor* [16.1], is based on the formation of an interference pattern, or *hologram*, in the transmission electron microscope (TEM). In contrast to most conventional TEM techniques, which only record spatial distributions of image intensity, electron holography allows the phase shift of the high-energy electron wave that has passed through the specimen to be measured directly. The phase shift can then be used to provide information about local variations in magnetic induction and electrostatic potential. This chapter provides an overview of the technique of electron holography. It begins with an outline of the experimental procedures and theoretical

background that are needed to obtain phase information from electron holograms. Medium-resolution applications of electron holography to the characterization of magnetic domain structures and electrostatic fields are then described, followed by a description of high-resolution electron holography and alternative modes of electron holography. The majority of the experimental results described below are obtained using the off-axis, or *sideband*, TEM mode, which is the most widely used mode of electron holography at present. For further details about electron holography, the interested reader is referred to recent books [16.2–6], book chapters and review papers [16.7–18].



16.1.1 Basis of Off-Axis Electron Holography

The off-axis mode of electron holography involves the examination of an electron-transparent specimen using defocused illumination from a highly coherent field-emission gun (FEG) electron source. In order to acquire an off-axis electron hologram, the region of interest on the specimen should be positioned so that it covers approximately half the field of view. An electron biprism, which usually takes the form of a fine ($< 1 \mu\text{m}$ diameter) wire [16.19], is located below the sample, normally in place of one of the conventional selected-area apertures. The application of a voltage to the biprism results in overlap of a *reference* electron wave that has passed through vacuum (or through a thin region of support film) with the electron wave that has passed through the specimen, as shown schematically in Fig. 16.1a. If the illumination is sufficiently coherent, then holographic interference fringes are formed in the overlap region, with a spacing that is inversely proportional to the biprism voltage [16.20–22]. The amplitude and the phase shift of the electron wave from the specimen are recorded in the intensity and the position of the holographic fringes, respectively. A representative off-axis electron hologram of a chain of magnetite (Fe_3O_4) nanocrystals is shown in Fig. 16.1b.

For coherent TEM imaging, the electron wave function in the image plane can be written in the form

$$\psi_i(\mathbf{r}) = A_i(\mathbf{r}) \exp[i\phi_i(\mathbf{r})], \quad (16.1)$$

where \mathbf{r} is a two-dimensional (2-D) vector in the plane of the sample, A and ϕ refer to amplitude and phase, and the subscript i refers to the image plane. The recorded intensity distribution is then given by the expression

$$I_i(\mathbf{r}) = |A_i(\mathbf{r})|^2. \quad (16.2)$$

Fig. 16.1 (a) Schematic illustration of the setup used for generating off-axis electron holograms. The specimen occupies approximately half the field of view. Essential components are the field-emission gun (FEG) electron source, which provides coherent illumination, and the electron biprism, which causes overlap of the object and (vacuum) reference waves. The Lorentz lens enables imaging of magnetic materials in close to field-free conditions. **(b)** Off-axis electron hologram of a chain of magnetite (Fe_3O_4) crystals, recorded at 200 kV using a Philips CM200 FEGTEM. The crystals are supported on a holey carbon film. Phase changes can be seen in the form of bending of the holographic interference fringes as they pass through the crystals. Fresnel fringes from the edges of the biprism wire are also visible ◀

Thus, the image intensity can be described as the modulus squared of an electron wave function that has been modified by the specimen and the objective lens. The intensity distribution in an off-axis electron hologram can be represented by the addition of a tilted plane reference wave to the complex specimen wave, in the form

$$I_{\text{hol}}(\mathbf{r}) = |\psi_i(\mathbf{r}) + \exp(2\pi i \mathbf{q}_c \cdot \mathbf{r})|^2 \quad (16.3)$$

$$= 1 + A_i^2(\mathbf{r}) + 2A_i(\mathbf{r}) \cos[2\pi i \mathbf{q}_c \cdot \mathbf{r} + \phi_i(\mathbf{r})], \quad (16.4)$$

where the tilt of the reference wave is specified by the two-dimensional reciprocal space vector $\mathbf{q} = \mathbf{q}_c$. It can be seen from (16.4) that there are three separate contributions to the intensity distribution in a hologram: the reference wave, the image wave and an additional set of sinusoidal fringes with local phase shifts and amplitudes that are exactly equivalent to the phase and amplitude of the electron wave function in the image plane, ϕ_i and A_i , respectively.

16.1.2 Hologram Reconstruction

In order to obtain amplitude and phase information, the off-axis electron hologram is first Fourier transformed. From (16.4), the complex Fourier transform of the hologram is given by the expression

$$\begin{aligned} \mathcal{F}\{I_{\text{hol}}(\mathbf{r})\} &= \delta(\mathbf{q}) + \mathcal{F}\{A_i^2(\mathbf{r})\} \\ &+ \delta(\mathbf{q} + \mathbf{q}_c) \otimes \mathcal{F}\{A_i(\mathbf{r}) \exp[i\phi_i(\mathbf{r})]\} \\ &+ \delta(\mathbf{q} - \mathbf{q}_c) \otimes \mathcal{F}\{A_i(\mathbf{r}) \exp[-i\phi_i(\mathbf{r})]\}. \end{aligned} \quad (16.5)$$

Equation (16.5) describes a peak at the reciprocal space origin corresponding to the Fourier transform of the reference image, a second peak centered on the origin corresponding to the Fourier transform of a bright-field TEM image of the sample, a peak centered at $\mathbf{q} = -\mathbf{q}_c$ corresponding to the Fourier transform of the desired image wave function, and a peak centered at $\mathbf{q} = +\mathbf{q}_c$ corresponding to the Fourier transform of the complex conjugate of the wave function.

The reconstruction of a hologram to obtain amplitude and phase information is illustrated in Fig. 16.2. Figure 16.2a–c shows a hologram of a thin crystal, an enlargement of part of the hologram, and a Fourier transform of the entire hologram, respectively. In order to recover the amplitude and the relative phase shift of the electron wave function, one of the two sidebands is digitally selected and inverse Fourier transformed, as shown in Fig. 16.2d. The phase of the electron wave function can then be directly calculated by evaluating

the arctangent of the ratio of the imaginary and real parts of the resulting real-space complex image.

The impact of electron holography results from the dependence of the phase shift on the electrostatic potential and the in-plane component of the magnetic induction in the specimen. Neglecting the effects of dynamical diffraction (i. e., assuming that the specimen is thin and weakly diffracting), the phase shift can be expressed (in one-dimensional (1-D) form here for simplicity) in the form

$$\begin{aligned} \phi(x) &= C_E \int V(x, z) dz \\ &- \left(\frac{e}{\hbar}\right) \int \int B_{\perp}(x, z) dx dz, \end{aligned} \quad (16.6)$$

$$\text{where } C_E = \left(\frac{2\pi}{\lambda}\right) \left(\frac{E + E_0}{E(E + 2E_0)}\right), \quad (16.7)$$

z is in the incident electron beam direction, x is in the plane of the specimen, B_{\perp} is the component of the magnetic induction within and outside the specimen perpendicular to both x and z , V is the electrostatic potential, λ is the (relativistic) electron wavelength, and E and E_0 are the kinetic and rest mass energies of the incident electron, respectively [16.24]. C_E has values of 7.29×10^6 , 6.53×10^6 and 5.39×10^6 rad $\text{V}^{-1} \text{m}^{-1}$ at microscope accelerating voltages of 200 kV, 300 kV and 1 MV, respectively. If neither V nor B_{\perp} varies along the electron beam direction within a sample of thickness t , then (16.6) can be simplified to

$$\phi(x) = C_E V(x)t(x) - \left(\frac{e}{\hbar}\right) \int B_{\perp}(x)t(x) dx. \quad (16.8)$$

By making use of (16.6) and (16.8), high-spatial-resolution information about local variations in V and B_{\perp} can be recovered from a measurement of the phase shift ϕ , as described below.

16.1.3 Experimental Considerations

In practice, several issues must be addressed in order to successfully record and analyze an electron hologram. A key experimental requirement is the availability of a vacuum reference wave that can be overlapped onto the region of interest on the specimen, which usually implies that the hologram must be recorded from a region close to the specimen edge. This restriction can be relaxed if a thin, clean and weakly diffracting region of electron-transparent support film, rather than vacuum, can be overlapped onto the region of interest.

As phase information is stored in the lateral displacement of the holographic interference fringes, long-range phase modulations arising from inhomogeneities

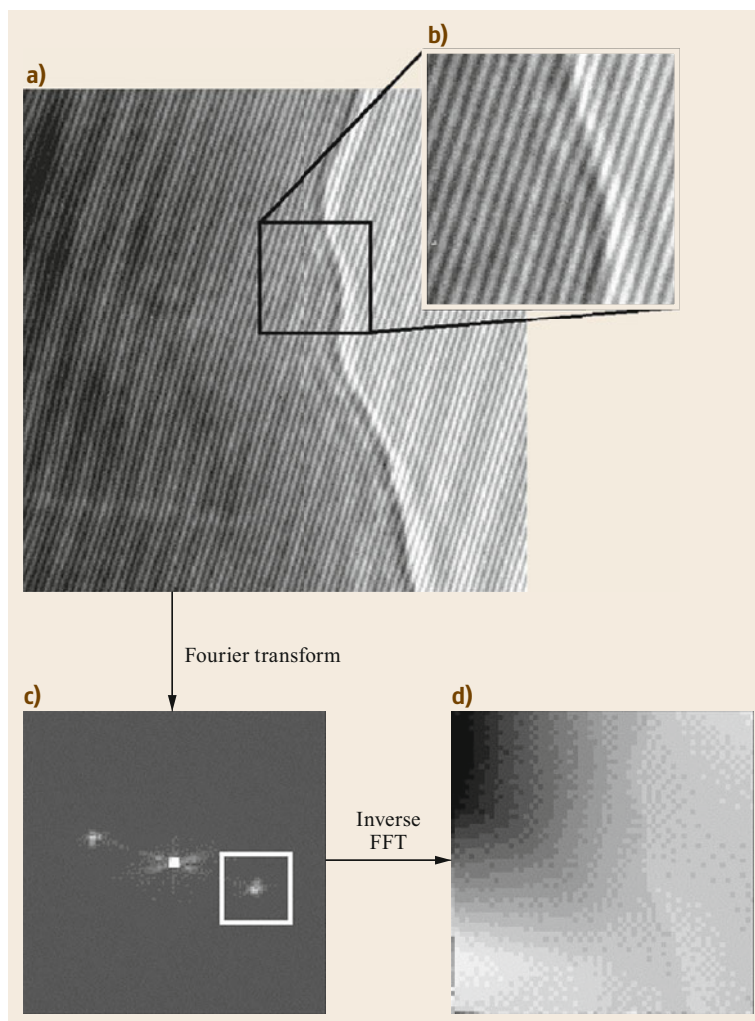


Fig. 16.2 (a) Off-axis electron hologram recorded from a thin crystal. (b) Enlargement showing interference fringes within the specimen. (c) Fourier transform of the electron hologram. (d) Phase image obtained after inverse Fourier transformation of the sideband marked with a *box* in (c). Reprinted with permission from [16.23], John Wiley and Sons

in the charge and thickness of the biprism wire, as well as from lens distortions and charging effects (e. g., at apertures), must be taken into account by recording a reference hologram after removing the specimen from the field of view without changing the optical parameters of the microscope. Correction is then possible by performing a complex division of the sample and reference waves in real space to obtain the distortion-free phase of the image wave [16.25].

The need for this procedure is illustrated in Fig. 16.3. Figure 16.3a shows a reconstructed phase image of a wedge-shaped crystal of InP obtained before distortion correction, with the vacuum region outside the sample edge on the left side of the image [16.26]. Figure 16.3b shows the corresponding vacuum reference phase image, which was acquired with the sample removed from the field of view but with all other imaging parameters unchanged. The equiphasic contour lines

now correspond to distortions that must be removed from the phase image of the sample. Figure 16.3c shows the distortion-corrected phase image of the sample, which was obtained by dividing the two complex image waves. The vacuum region in Fig. 16.3c is flattened substantially by this procedure, which enables much more reliable interpretation of the relative phase changes within the sample. The acquisition of a vacuum reference hologram has the additional advantage that it allows the center of the sideband in Fourier space to be accurately determined. The use of the same location for the sideband in the Fourier transforms of the sample and reference waves removes any tilt of the recorded wave that might be introduced by an inability to locate the exact (subpixel) position of the sideband frequency in Fourier space.

Electron holograms have traditionally been recorded on photographic film, but digital acquisition

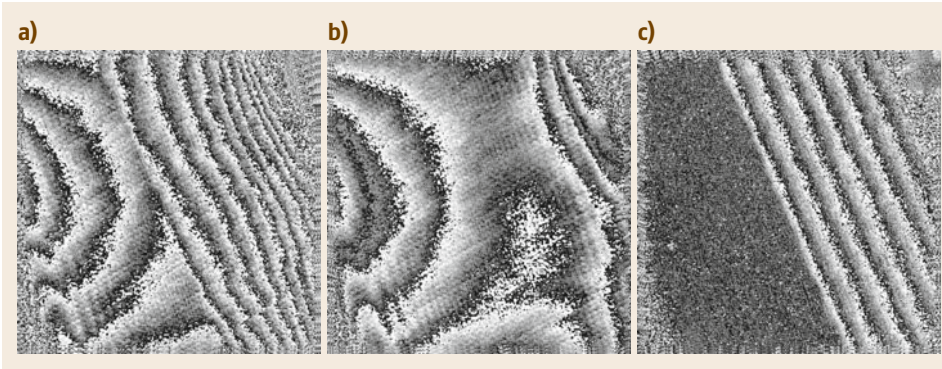


Fig. 16.3a–c Illustration of distortion correction procedure. **(a)** Initial phase image (sixfold amplification) of a wedge-shaped InP crystal recorded at 100 kV using a Philips 400ST FEGTEM equipped with a Gatan 679 slow-scan CCD camera. **(b)** Phase image obtained from vacuum, with the specimen removed from the field of view. **(c)** Corrected phase image, obtained by subtracting image **(b)** from image **(a)**. This procedure is carried out by dividing the complex image waves obtained by inverse Fourier transformation of the sideband obtained from each hologram, and by calculating the phase of the resulting wave function. Reprinted from [16.26]

using charge-coupled device (CCD) cameras and direct electron detectors is now widely employed due to their linear response, dynamic range and high detection quantum efficiency, as well as immediate accessibility to the recorded information [16.27–29]. Whether a hologram is recorded on film or digitally, the field of view in a single electron hologram is typically limited to below approximately $5\ \mu\text{m}$ by the dimensions of the recording medium and the sampling of the holographic fringes. However, the vacuum reference wave can be taken from a much larger distance from the region of interest, either by introducing an additional biprism into the condenser lens system of the microscope to achieve a split-illumination mode of electron holography [16.30, 31] or by recording multiple electron holograms and using an accumulated reconstruction method [16.32].

A further complication arises from the fact that a phase image that is calculated digitally is usually evaluated modulo 2π , meaning that 2π phase discontinuities that are unrelated to specimen features appear at positions where the phase shift exceeds this amount. The phase image must often then be *unwrapped* using suitable algorithms [16.33].

The high electron beam coherence that is required for electron holography usually requires the use of a FEG electron source, a small spot size, a small condenser aperture and a low gun extraction voltage. The coherence may be further improved by adjusting the condenser lens stigmators in the microscope to provide elliptical illumination that is wide in the direction perpendicular to the biprism when the condenser lens is overfocused [16.34, 35]. The contrast of the holographic interference fringes is determined primarily by

the lateral coherence of the electron wave at the specimen level, the mechanical stability of the biprism wire and the point spread function of the recording medium. The fringe contrast

$$\mu = \left(\frac{I_{\max} - I_{\min}}{I_{\max} + I_{\min}} \right) \quad (16.9)$$

can be determined from a holographic interference fringe pattern that has been recorded in the absence of a sample, where I_{\max} and I_{\min} are the maximum and minimum intensities of the interference fringes, respectively [16.36]. Should the fringe contrast decrease too much, reliable reconstruction of the image wave function will no longer be possible [16.37].

The phase detection limit for electron holography [16.38, 39] can be determined from the effect on a recorded hologram of Poisson-distributed shot noise, the detection quantum efficiency and point spread function of the CCD camera, and the fringe contrast [16.40–42]. The minimum phase difference between two pixels that can be detected is given by the expression

$$\Delta\phi_{\min} = \left(\frac{\text{SNR}}{\mu} \right) \sqrt{\frac{2}{N_{\text{el}}}}, \quad (16.10)$$

where SNR is the signal-to-noise ratio, μ is defined in (16.9), and N_{el} is the number of electrons collected per pixel [16.43]. In practice, some averaging of the measured phase is often implemented [16.44, 45], particularly if the features of interest vary slowly across the image or only in one direction.

A final artifact results from the presence of Fresnel diffraction at the biprism wire, which is visible in Fig. 16.1b, and causes phase and amplitude

modulations of both the image and the reference wave [16.46, 47]. These effects can be removed to some extent by using a reference hologram, and by Fourier-filtering the sideband before reconstruction of the image wave. More advanced approaches for removing Fresnel fringes from electron holograms based on image

analysis [16.48] and multiple-biprism electron holography [16.49–52] have been introduced. Great care should also be taken to assess the effect on the reference wave of long-range electromagnetic fields that may extend outside the sample and perturb both the object wave and the reference wave [16.53, 54].

16.2 Measurement of Mean Inner Potential and Sample Thickness

Before describing the application of electron holography to the characterization of magnetic and electrostatic fields, we first consider the use of the technique to measure local variations in specimen morphology and composition. Such measurements are possible from a phase image that is associated solely with variations in mean inner potential and specimen thickness. When a specimen has uniform structure and composition in the electron beam direction, and in the absence of magnetic and long-range electrostatic fields (such as those at depletion regions in semiconductors), (16.8) can be rewritten in the form

$$\phi(x) = C_E V_0(x) t(x), \quad (16.11)$$

where the mean inner potential of the specimen, V_0 , is the volume average of the electrostatic potential [16.55]. To a first approximation, values of V_0 can be calculated from the equation

$$V_0 = \left(\frac{h^2}{2\pi m e \Omega} \right) \sum_{\Omega} f_{ei}(0) \quad (16.12)$$

by treating the specimen as an array of neutral atoms. In (16.12), $f_{ei}(0)$ are electron scattering factors at zero scattering angle for each atom, which have been calculated, for example, by *Doyle and Turner* [16.56] and *Rez et al.* [16.57], and Ω is typically the volume of a unit cell in a crystalline material. However, values of V_0 that are calculated using (16.12) are invariably overestimated as a result of bonding in the specimen [16.58, 59]. It is therefore important to obtain experimental measurements of V_0 . According to (16.11), an independent measure of the specimen thickness profile is required in order to determine V_0 , for example by examining a specimen in which the thickness changes in a well-defined manner, as shown in Fig. 16.4a for a phase profile obtained from a 90° wedge of GaAs tilted to a weakly diffracting orientation. If the specimen thickness profile is known, then V_0 can be de-

termined by measuring the gradient of the phase $d\phi/dx$, and making use of the relation

$$V_0 = \left(\frac{1}{C_E} \right) \left(\frac{d\phi}{dx} \right). \quad (16.13)$$

This approach has been used successfully to measure the mean inner potential of cleaved wedges and cubes of Si, MgO, GaAs, PbS [16.60, 61] and Ge [16.62]. The resulting values of V_0 that were determined for MgO, GaAs, PbS and Ge using this approach are 13.0 ± 0.1 , 14.5 ± 0.2 , 17.2 ± 0.1 and 14.3 ± 0.2 V, respectively. In a similar study, wedge-shaped Si samples with stacked Si oxide layers on their surfaces were used to measure the mean inner potentials of the oxide layers [16.63]. Experimental measurements of V_0 have been obtained from 20–40 nm-diameter Si nanospheres coated in layers of amorphous SiO₂ [16.64]. The mean inner potential of crystalline Si was found to be 12.1 ± 1.3 V, that of amorphous Si 11.9 ± 0.9 V, and that of amorphous SiO₂ 10.1 ± 0.6 V. A mean inner potential of 14.30 ± 0.28 V was demonstrated for ZnO nanowires using off-axis electron holography [16.65]. Similar measurements obtained from spherical latex particles embedded in vitrified ice have provided values for V_0 of 8.5 ± 0.7 and 3.5 ± 1.2 V for the two materials, respectively [16.66].

Dynamical contributions to the phase shift complicate the determination of V_0 from crystalline samples [16.60, 62, 67]. These corrections can be taken into account by using either multislice or Bloch wave algorithms [16.68, 69]. The fact that (16.11) is no longer valid when the sample is tilted to a strongly diffracting orientation is demonstrated in Fig. 16.4b for a 90° cleaved wedge sample of GaAs that has been tilted close to a (100) zone axis. The phase shift varies nonlinearly with sample thickness, and is also very sensitive to small changes in sample orientation. Fortunately, dynamical contributions to the phase do not significantly affect measurements of phase shifts at medium

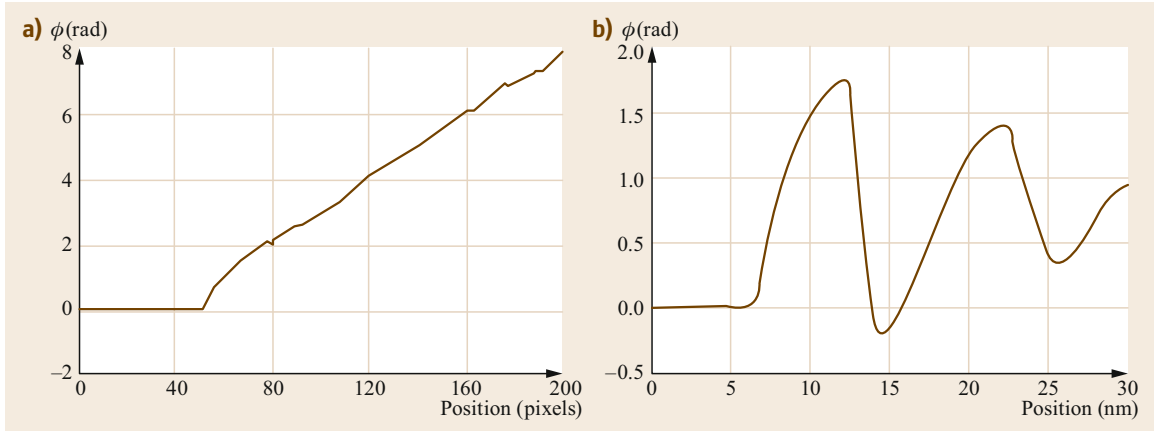


Fig. 16.4 (a) Phase profile plotted as a function of distance into a 90° GaAs cleaved wedge specimen tilted to a weakly diffracting orientation. The phase change increases approximately linearly with specimen thickness. (b) Phase profile obtained from a GaAs wedge tilted close to a $[100]$ zone axis, showing strong dynamical effects. Reprinted from [16.59]

resolution from amorphous materials or liquids [16.70–73] or from very thin samples of low-atomic-number two-dimensional materials [16.74, 75]. Additional experimental factors that may affect measurements of V_0 include the chemical and physical state and the crystallographic orientation of the specimen surface [16.76], and specimen charging [16.77–82].

If V_0 is already known, then measurements of the phase shift can be used to determine the local specimen thickness t . Alternatively, the specimen thickness can be inferred from a holographic amplitude image in units of λ_{in} , the mean free path for inelastic scattering, by making use of the relation

$$\frac{t(x)}{\lambda_{in}} = -2 \ln \left(\frac{A_s(x)}{A_r(x)} \right), \quad (16.14)$$

where $A_s(x)$ and $A_r(x)$ are the measured amplitudes of the sample and reference holograms, respectively [16.83, 84]. When applying (16.14) to experimental amplitude images, the holographic fringe contrast outside the specimen must be normalized to unity if it is not the same for the sample and reference holograms. If desired, the sample thickness dependence of both the phase and the amplitude image can be removed by combining (16.11) and (16.14) in the form

$$\frac{\phi(x)}{-2C_E \ln \left(\frac{A_s(x)}{A_r(x)} \right)} = V_0(x) \lambda_{in}(x). \quad (16.15)$$

Equation (16.15) can be used to generate an image in which the contrast is the product of the local values of the mean inner potential and the inelastic mean free path. These parameters depend only on the local composition of the sample, and thus can be useful for interpreting images obtained from samples with varying composition and thickness [16.85, 86].

If the mean inner potential in a specimen is constant or if its variation across the specimen is known, then the morphologies of nanoscale particles (for which dynamical contributions to the phase shift are likely to be small) can be measured using electron holography by making use of (16.11). Examples of the measurement of specimen shapes using this approach include the characterization of faceted ZrO_2 crystals [16.87], carbon nanotubes [16.88], bacterial flagella [16.89] and atomic-height steps on clean surfaces of MoS_2 [16.90]. Such measurements can in principle be extended to three dimensions (3-D) by combining electron holography and electron tomography [16.91], as demonstrated by the acquisition and analysis of tilt series of electron holograms of latex spheres [16.92, 93], Pt nanoparticles [16.94] and semiconductor nanowires [16.84]. The demanding nature of the latter measurements results from the fact that specimen tilt angles of at least $\pm 60^\circ$, along with small tilt steps, accurate alignment of the resulting phase images, and sophisticated reconstruction algorithms, are typically required in order to avoid artifacts.

16.3 Measurement of Magnetic Fields

The most successful and widespread applications of electron holography have involved the characterization of magnetic fields within and surrounding materials at medium spatial resolution. When examining magnetic materials, the normal microscope objective lens is usually switched off, as its strong magnetic field is likely to saturate the magnetization in the sample along the electron beam direction. A high-strength Lorentz minilens [16.21, 22] located below the objective lens is then often used instead to provide reasonably high magnification ($\approx 50\,000$ – $75\,000\times$), with the sample either in a magnetic-field-free environment or in a chosen (pre-calibrated) vertical magnetic field provided by using an intermediate setting of the TEM objective lens (combined with tilting of the specimen) or a dedicated in situ magnetizing specimen holder [16.95–99].

16.3.1 Early Experiments

Although the earliest studies of magnetic fringing fields outside materials in the TEM, which involved the use of beam stops [16.100, 101] and distortions of wire meshes [16.102], can be traced back to the 1940s and 1950s, the characterization of magnetic materials using electron holography has seen rapid growth only since the 1980s. Early examples of the examination of magnetic materials using electron holography involved the reconstruction of electron holograms using a laser bench, and included the characterization of horseshoe magnets [16.103], magnetic recording media [16.104] and vortices in superconductors [16.105–107]. The most elegant of these experiments involved confirmation of the Aharonov–Bohm effect [16.108, 109], which states that when an electron wave from a point source passes on either side of an infinitely long solenoid the relative phase shift between the two parts of the wave results from the presence of a vector potential. In this way, the Aharonov–Bohm effect provides the only observable confirmation of the physical reality of gauge theory. Electron holography experiments were carried out on 20 nm-thick permalloy toroidal magnets that were covered with 300 nm-thick layers of superconducting Nb, which prevented electrons from penetrating the magnetic material and confined the magnetic flux by exploiting the Meissner effect [16.110, 111]. The observations showed that the phase difference between the center of the toroid and the region outside was quantized to a value of 0 or π when the temperature was below the Nb superconducting critical temperature (5 K), i. e., when a supercurrent was induced to circulate in the magnet. The observed quantization of magnetic flux, and the measured phase differences with the magnetic field entirely screened by the superconductor,

provided unequivocal confirmation of the Aharonov–Bohm effect.

16.3.2 Digital Acquisition and Analysis

Recent applications of electron holography to the characterization of magnetic fields in nanostructured materials have been based on digital recording and processing. The examples that are described below highlight the different approaches that can be used to separate a desired magnetic signal from a recorded phase image, as well as illustrating the magnetic properties of the materials. The off-axis mode of electron holography is ideally suited to the characterization of magnetic fields in nanoscale materials, because unwanted contributions to the contrast from local variations in composition and specimen thickness can usually be removed from a phase image more easily than from images recorded using other TEM phase contrast techniques. For example, the Fresnel and Foucault modes of Lorentz microscopy [16.112] and differential phase contrast (DPC) imaging [16.113–115] provide signals that are approximately proportional to either the first or the second differential of the phase shift. These techniques inherently enhance contributions to the contrast from rapid variations in specimen thickness and composition, as compared to the weak and slowly varying magnetic signal.

The *digital* acquisition, reconstruction and analysis of electron holograms has enabled the examination of magnetic fields within samples with small feature sizes and rapid variations in thickness or composition. The key advantage of digital analysis is that the magnetic and mean inner potential contributions to the measured holographic phase shift can be separated, particularly at the edges of nanostructured particles, where rapid changes in specimen thickness can dominate both the phase and the phase gradient. Examples of approaches that can be used to achieve this separation are described below. Digital analysis also facilitates the construction of line profiles from phase images, which can provide quantitative information such as the widths of magnetic domain walls.

Determination of the phase gradient is particularly useful for studies of magnetic materials because of the following relationship, which is obtained by differentiating (16.8)

$$\frac{d\phi(x)}{dx} = C_E \frac{d}{dx} [V(x)t(x)] - \left(\frac{e}{\hbar}\right) B_{\perp}(x)t(x). \quad (16.16)$$

According to (16.16), for a specimen of uniform thickness and composition, the phase gradient is proportional

to the in-plane component of the magnetic induction in the specimen

$$\frac{d\phi(x)}{dx} = -\left(\frac{e\hbar}{\hbar}\right) B_{\perp}(x). \quad (16.17)$$

A direct graphical representation of the magnetic induction can therefore be obtained by adding contours to a magnetic phase image, where a phase difference of 2π corresponds to an enclosed magnetic flux of 4×10^{-15} Wb. Importantly, an experimental phase image does not need to be unwrapped in order to digitally evaluate its first differential. Instead, if the reconstructed image wave is designated ψ , then the phase differential can be determined directly from the expression

$$\frac{d\phi(x, y)}{dx} = \Im \left\{ \frac{d\psi(x, y)}{\psi(x, y)} \right\}. \quad (16.18)$$

Most of the results that are described below were acquired using Philips CM200ST, Philips CM300ST and FEI Titan FEGTEMs equipped with rotatable electron biprisms and with Lorentz mini-lenses located in the bores of their objective lens pole-pieces. The Lorentz lenses allow electron holograms to be recorded at magnifications of up to $\approx 75\,000\times$ with the specimens located in magnetic-field-free environments.

NdFeB Hard Magnets

Figure 16.5a shows a Lorentz (Fresnel defocus) image of a $\text{Nd}_2\text{Fe}_{14}\text{B}$ specimen in which magnetic domains can be seen [16.116]. Such images provide little information about the direction of the local projected magnetic induction in the specimen. An electron holographic phase image acquired from the same area using an interference fringe spacing of 2.5 nm is shown in Fig. 16.5b. Gradients of the phase image were calculated along the $+x$ and $-y$ directions, as shown in Fig. 16.5c and d, respectively. These images were combined to form a vector map of the magnetic induction, as shown in Fig. 16.5e. The map is divided into 20 nm squares, and has a low-contrast phase gradient image superimposed on it for reference purposes. The minimum vector length is zero (corresponding to out-of-plane projected magnetic induction), while the maximum vector length is consistent with a measured in-plane magnetic induction B of 1.0 T. A vector map of the region marked in Fig. 16.5e is shown at higher magnification in Fig. 16.5f. In this map, magnetic vortices show Bloch-like character, with vanishingly small vector lengths. Care is needed when interpreting the fine details in such maps due to the possible effects of magnetic fringing fields immediately above and below the sample, as well as contributions from variations in specimen thickness. A single-pixel line scan across a 90° domain wall, which appears as the bright ridge near

the central part of Fig. 16.5b, is shown in Fig. 16.5g. This line profile places an upper limit of 10 nm on the magnetic domain wall width, which agrees well with theoretical estimates. More recent studies of magnetic domain walls using off-axis electron holography have benefited from the preparation of TEM samples of uniform thickness using focused ion beam (FIB) milling [16.117].

Co Nanoparticle Chains

The dominant nature of the mean inner potential contribution to the phase shift recorded from a nanoscale magnetic particle is illustrated in Fig. 16.6. Figure 16.6a,b shows a hologram and a reconstructed phase image of a chain of Co particles suspended over a hole in a carbon support film [16.118]. Figure 16.6c,d shows corresponding line traces determined from the phase image across the centers of two particles. Each trace is obtained in a direction perpendicular to the chain axis. The in-plane magnetic induction and mean inner potential of each particle can be determined by fitting simulations to the experimental line traces. Analytical expressions for the expected phase shifts can be derived for a uniformly magnetized sphere of radius a , in-plane magnetic induction B_{\perp} (along y), and mean inner potential V_0 in the form

$$\begin{aligned} \phi(x, y)|_{(x^2+y^2)<a^2} &= 2C_E V_0 \sqrt{a^2 - (x^2 + y^2)} \\ &+ \left(\frac{e}{\hbar}\right) B_{\perp} a^3 \left(\frac{x}{x^2 + y^2}\right) \\ &\times \left(1 - \left[1 - \left(\frac{x^2 + y^2}{a^2}\right)\right]^{\frac{3}{2}}\right), \end{aligned} \quad (16.19)$$

$$\phi(x, y)|_{(x^2+y^2)>a^2} = \left(\frac{e}{\hbar}\right) B_{\perp} a^3 \left(\frac{x}{x^2 + y^2}\right), \quad (16.20)$$

where $B_{\perp} = (2/3)\mu_0 M_0$ (along y) for a spherical magnetic particle, and M_0 is the magnetization of the material.

For line profiles through the centers of the particles in a direction perpendicular to that of B_{\perp} , these expressions reduce to

$$\begin{aligned} \phi(x)|_{x<a} &= 2C_E V_0 \sqrt{a^2 - x^2} \\ &+ \left(\frac{e}{\hbar}\right) B_{\perp} \left[\frac{a^3 - (a^2 - x^2)^{\frac{3}{2}}}{x}\right] \end{aligned} \quad (16.21)$$

$$\phi(x)|_{x>a} = \left(\frac{e}{\hbar}\right) B_{\perp} \left(\frac{a^3}{x}\right). \quad (16.22)$$

Least-squares fits of (16.21) and (16.22) to the experimental data points, which are also shown in Fig. 16.6c and d, were used to provide best-fitting values for a , B_{\perp} and V_0 [16.118].

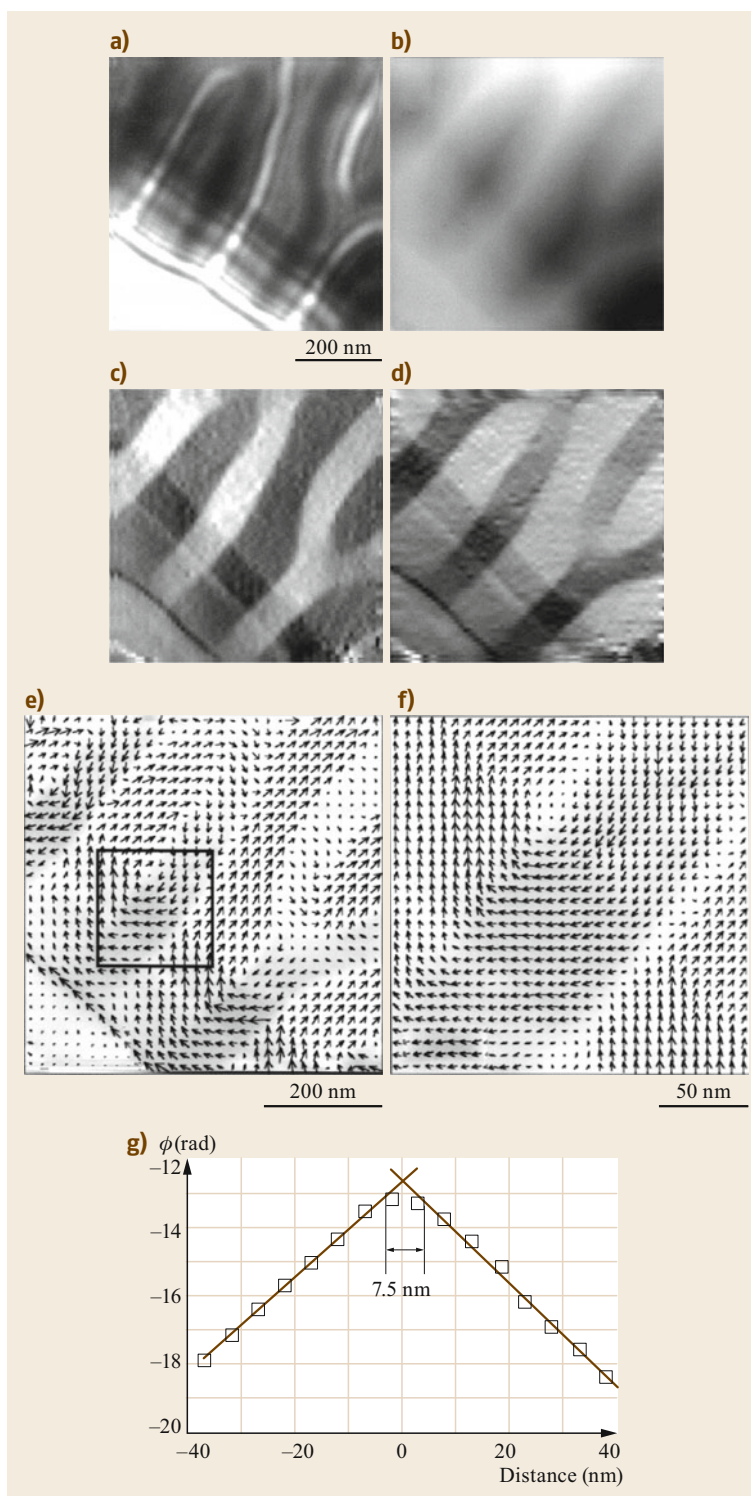


Fig. 16.5 (a) Room-temperature Lorentz (Fresnel underfocus) image of a $\text{Nd}_2\text{Fe}_{14}\text{B}$ hard magnet, recorded at 200 kV using a Philips CM200 FEGTEM operated in Lorentz mode. (b) Phase image of the same region of the specimen reconstructed from an electron hologram obtained using an interference fringe spacing of 2.5 nm. (c,d) Gradients of the phase image shown in (b), calculated parallel to the $+x$ and $-y$ directions, respectively. (e) Induction map derived from the phase gradients shown in (c) and (d). (f) Enlargement of the area indicated in (e). (g) Line scan obtained across a 90° domain wall that appears as a bright ridge near the center of image (b). The line profile provides an upper limit for the domain wall width of 10 nm. Reprinted from [16.5], with the permission of AIP Publishing

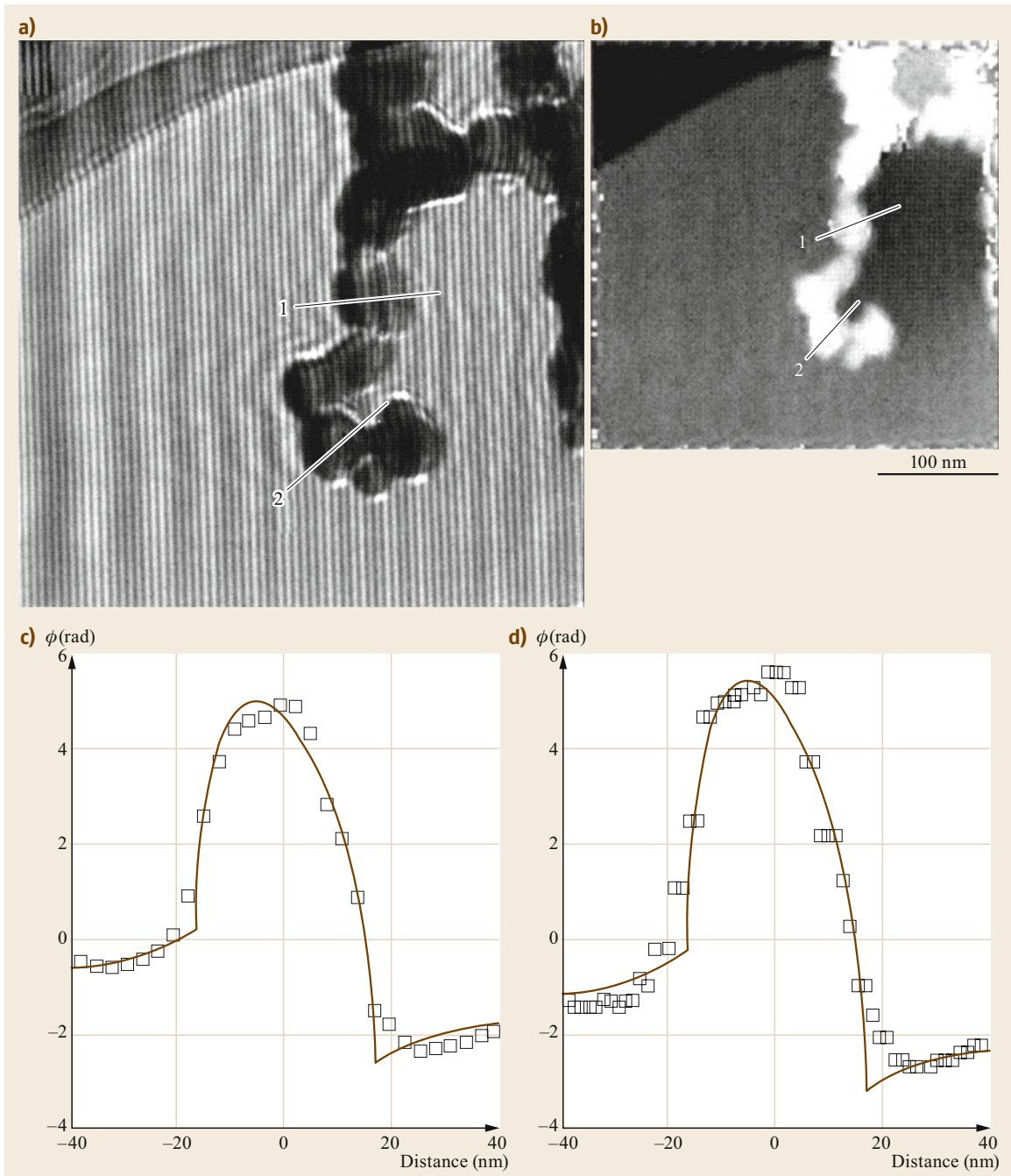


Fig. 16.6 (a) Off-axis electron hologram of a chain of Co particles suspended over a hole in a carbon support film, acquired at 200 kV using a Philips CM200 FEGTEM and a biprism voltage of 90 V. (b) Corresponding unwrapped phase image. (c,d) Experimental line profiles formed from lines 1 and 2 in (b), and fitted phase profiles generated for spherical Co nanoparticles. Reprinted with permission from [16.118], John Wiley and Sons

16.3.3 Separation of Magnetic and Mean Inner Potential Contributions

When characterizing magnetic fields inside nanostructured materials, the mean inner potential contribution to the measured phase shift generally must be removed in order to interpret the magnetic contribution of primary interest. Several approaches can be used to achieve this separation. First, the sample may be inverted to change the sign of the magnetic contribution to the signal and a second hologram recorded. The sum and the difference of the two phase images can then be used to provide twice the magnetic contribution and twice the mean inner potential contribution, respectively [16.119, 120]. Second, two holograms may be acquired from the same area of the specimen at two different microscope accelerating voltages. In this case, the magnetic signal is independent of accelerating voltage, and subtraction of the two phase images can be used to provide the mean inner potential contribution. Third, electron holograms can be recorded below and above the magnetic Curie temperature of the specimen. A fourth, often more practical method of removing the mean inner potential contribution involves performing magnetization reversal in situ in the electron microscope, and subsequently selecting pairs of holograms that differ only in the (opposite) directions of the magnetization in the specimen. The magnetic and mean inner potential contributions to the phase can be calculated by taking half the difference, and half the sum, of the phases. The mean inner potential contribution can then be subtracted from all other phase images acquired from the same specimen region [16.121]. In situ magnetization reversal, which is required both for this purpose and for performing magnetization reversal experiments in the TEM, can be achieved by exciting the conventional microscope objective lens slightly and tilting the specimen to apply known magnetic fields, as shown schematically in Fig. 16.7. Subsequently, electron holograms can be recorded with the conventional microscope objective lens switched off and the Lorentz lens switched on, with the magnetic specimen located in a magnetic-field-free environment. In practice, if the two remanent magnetic states are not exactly equal and opposite to each other, then it may be necessary to repeat the switching process several times so that nonsystematic differences between switched pairs of phase images average out. Such differences, which can lead to artifacts in the final magnetic induction map, can sometimes be identified simply by inspection. By varying the applied magnetic field, it is also possible to record a series of images that correspond to any desired point on a remanent hysteresis loop or magnetization reversal cycle.

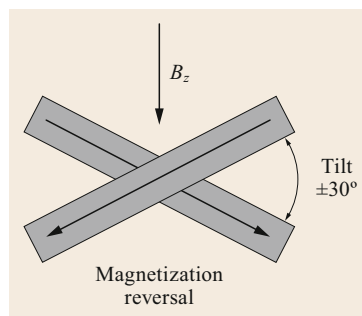


Fig. 16.7 Schematic diagram illustrating the use of specimen tilt to provide an in-plane component of the external field for in situ magnetization reversal experiments. Reprinted with permission from [16.23], John Wiley and Sons

Magnetite Nanoparticle Chains

Results obtained from a chain of magnetite (Fe_3O_4) nanoparticles, which are shown in Fig. 16.8, illustrate the fact that both the mean inner potential and the magnetic contribution to the phase shift can provide useful information. In particular, the mean inner potential contribution can be used to interpret the morphologies and orientations of nanoparticles, as discussed above. Figure 16.8a,b shows phase contours generated, respectively, from the mean inner potential and magnetic contributions to the phase shift at the end of a chain of magnetite crystals from a magnetotactic bacterium collected from a brackish lagoon at Itaipu in Brazil. The magnetic moment that the crystals impart to the bacterial cell results in its alignment and subsequent migration along the Earth's magnetic field lines [16.122–124]. Separation of the mean inner potential and magnetic contributions to the phase shift was achieved by using the field of the conventional microscope objective lens to magnetize each chain parallel and then antiparallel to its length in situ in the TEM, as illustrated in Fig. 16.7. The contours in Fig. 16.8a and b have been overlaid onto the mean inner potential contribution to the phase. In Fig. 16.8a, they are associated with variations in specimen thickness and are confined primarily to the crystals, while in Fig. 16.8b they correspond to magnetic lines of force, which extend smoothly from within the crystals to the surrounding region. Figure 16.8c shows line profiles measured across the large and small magnetite crystals visible close to the centers of Fig. 16.8a and b, in a direction perpendicular to the chain axis. Individual experimental data points are shown as open circles. Corresponding simulations based on (16.8) are shown on the same axes. The brown solid line shows a best-fitting simulation to the data for the larger crystal, on the assumption that the external shape is formed from a combination of $\{111\}$, $\{110\}$ and $\{100\}$ faces. The

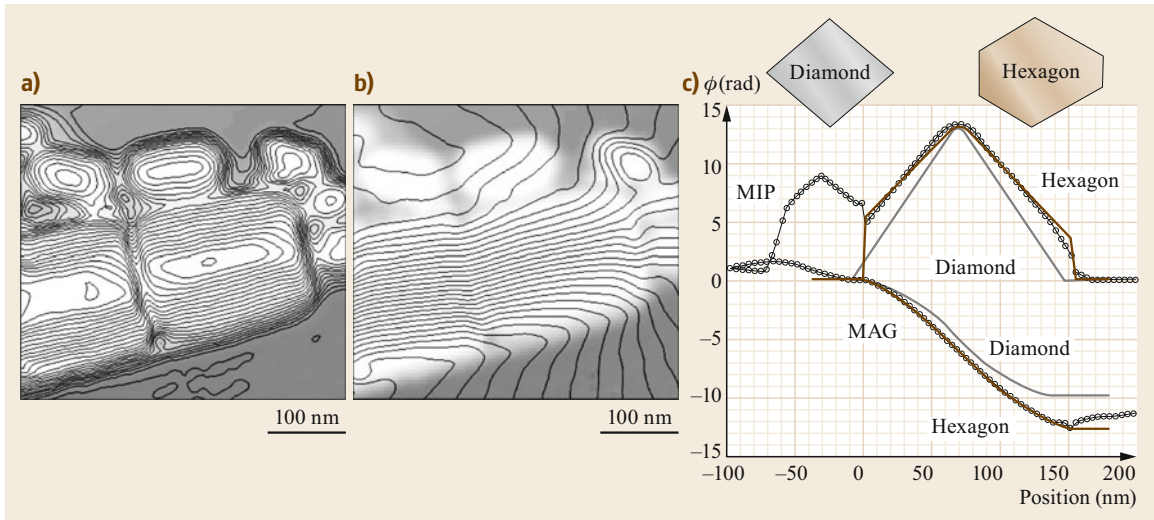


Fig. 16.8a–c Phase contours showing (a) the mean inner potential (MIP) and (b) the magnetic (MAG) contribution to the phase shift at the end of a chain of magnetite crystals from magnetotactic bacteria collected from a brackish lagoon at Itaipu in Brazil. The contours have been overlaid onto the mean inner potential contribution to the phase. (c) Line profiles obtained from images (a) and (b) across the large and small magnetite crystals close to the center of each image. The experimental data are shown as *open circles*. The *brown line* shows the best-fitting simulation to the data for the larger crystal, corresponding to a distorted hexagonal cross section (shown above the figure). The *gray line* shows the worse fit that results from assuming a diamond shape in cross section (also shown above the figure)

simulation corresponds to a distorted hexagonal shape in cross section (shown as an inset above the figure). The gray line shows a worse fit, provided by assuming a diamond shape in cross section. Off-axis electron holography has been used to provide unique information about differences in magnetic microstructure between different strains of magnetotactic bacteria that contain both magnetite nanocrystals [16.125–127] and greigite (Fe_3S_4) nanocrystals [16.128].

Recent experiments have involved the combined application of off-axis electron holography, environmental TEM and in situ heating to study the effects of oxidation and temperature on the remanent magnetic states of magnetite nanocrystals [16.129–133], as well as the study of magnetic states in superstructured magnetite nanoparticles [16.134] and magnetite nanocrystals studied in a closed liquid cell in situ in the TEM [16.73].

Co Nanoparticle Rings

An illustration of the characterization of magnetostatic interactions between particles that each contain a single magnetic domain is provided by the examination of rings of 20-nm-diameter crystalline Co particles, as shown in Fig. 16.9. Such rings are appealing candidates for high-density information storage applications because they are expected to form chiral magnetic domain states that exhibit flux closure (FC). Magnetic nano-

particle rings are also of interest for the development of electron holography, because their magnetization directions cannot be reversed by applying an in-plane external field. As a result, phase images were obtained both before and after inverting the specimen. The resulting pairs of phase images were aligned in position and angle, and their sum and difference calculated as described above. Figure 16.9a shows a low-magnification bright-field image of the Co rings [16.135]. A variety of self-assembled structures is visible, including five- and six-particle rings, chains and closely packed aggregates. The particles are each encapsulated in a 3–4 nm oxide shell. Figure 16.9b–d shows magnetic FC states in four different Co particle rings, measured using electron holography at room temperature in zero-magnetic-field conditions [16.136]. The magnetic flux lines, which are formed from the cosine of 128 times the magnetic contribution to the measured phase shift, reveal the projected in-plane magnetic induction within each ring ensemble. Further electron holography experiments show that the chirality of the FC states can often be switched in situ in the TEM by using an out-of-plane magnetic field [16.137–139].

FeNi Nanoparticle Chains

The magnetic properties of nanoparticle chains have been studied for many years [16.140]. However, there are few experimental measurements of the critical

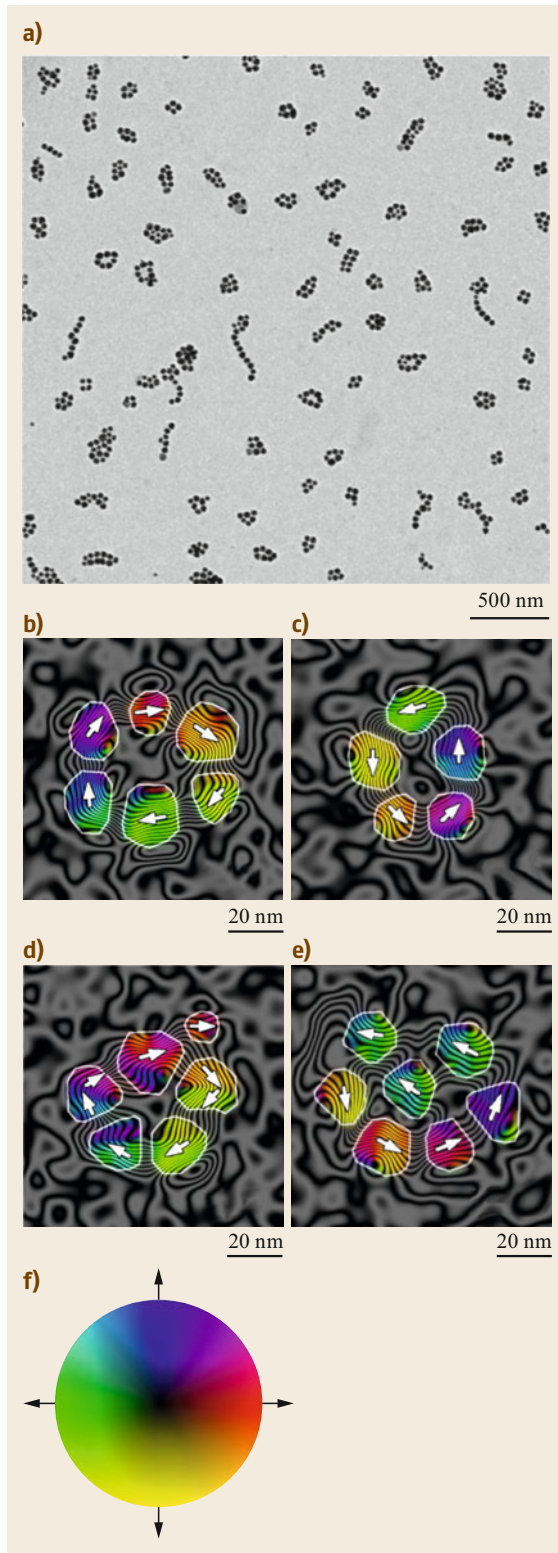


Fig. 16.9 (a) Low-magnification bright-field image of self-assembled Co nanoparticle rings and chains deposited onto an amorphous carbon support film. Each Co particle has a diameter of between 20 and 30 nm. (b–e) Magnetic phase contours ($128\times$ amplification; 0.049 rad spacing), formed from the magnetic contribution to the measured phase shift, in four different nanoparticle rings. The outlines of the nanoparticles are marked in white, while the direction of the measured magnetic induction is indicated both using arrows and according to the color wheel shown in (f). Reprinted with permission from [16.23], John Wiley and Sons ◀

sizes at which individual particles that are arranged in chains are large enough to support magnetic vortices rather than single domains. Previous electron holography studies did not provide direct images of such vortex states. Here, we illustrate the use of electron holography to characterize chains of ferromagnetic FeNi crystals, whose average diameter of 50 nm is expected to be close to the critical size for vortex formation [16.141]. Figure 16.10a shows a chemical map of a chain of $\text{Fe}_{0.56}\text{Ni}_{0.44}$ nanoparticles, acquired using a Gatan imaging filter. The particles are each coated in a 3 nm oxide shell. A defocused bright-field image and a corresponding electron hologram recorded from part of a chain are shown in Fig. 16.10b and Fig. 16.10c, respectively. The mean inner potential contribution to the phase shift was determined by using the field of the microscope objective lens to magnetize each chain parallel and then antiparallel to its length. The external magnetic field was removed before finally recording holograms in magnetic-field-free conditions.

Figure 16.11a,b show the remanent magnetic states of two chains of $\text{Fe}_{0.56}\text{Ni}_{0.44}$ particles, measured using electron holography. For a 75 nm $\text{Fe}_{0.56}\text{Ni}_{0.44}$ particle sandwiched between two smaller particles (Fig. 16.11a), closely spaced contours run along the chain in a channel of width 22 ± 4 nm. A comparison of the result with micromagnetic simulations [16.141] indicates that the particle contains a vortex with its axis parallel to the chain axis, as shown schematically in Fig. 16.11c. In Fig. 16.11b, a vortex can be seen end-on in a 71 nm particle at the end of a chain. The positions of the particle's neighbors determine the handedness of the vortex, with the flux channel from the rest of the chain sweeping around the core to form concentric circles (Fig. 16.11d). The vortex core, which is now perpendicular to the chain axis, is only 9 ± 2 nm in diameter. The larger value of 22 nm observed in Fig. 16.11a results from magnetostatic interactions along the chain [16.142, 143]. Similar vortices were never observed in particles below 30 nm in size, while intermediate states were observed

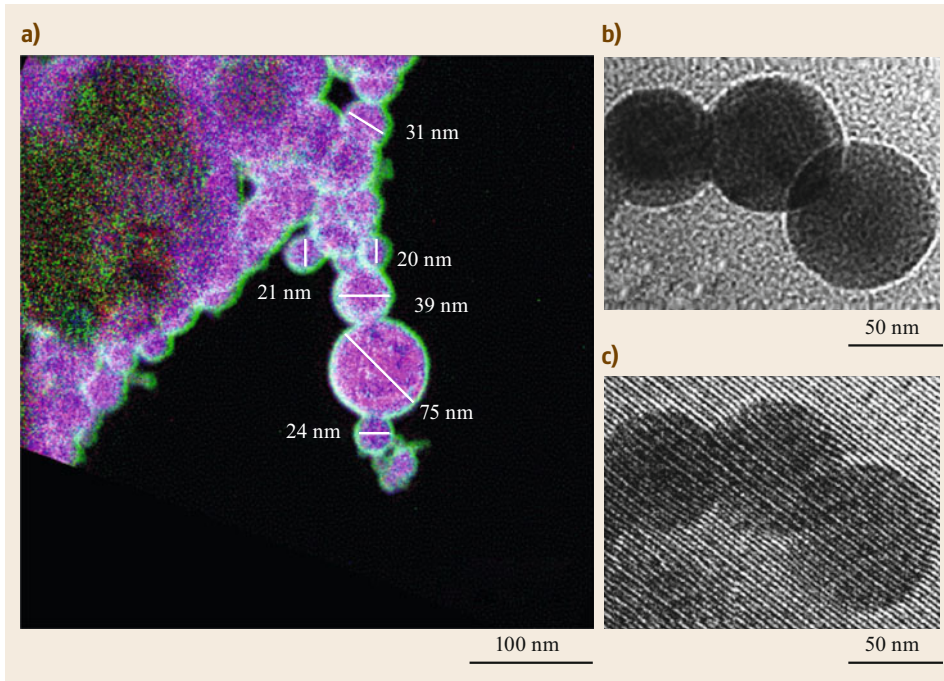


Fig. 16.10 (a) Chemical map of $\text{Fe}_{0.56}\text{Ni}_{0.44}$ nanoparticles, obtained using three-window background-subtracted elemental mapping with a Gatan imaging filter, showing Fe (red), Ni (blue) and O (green). (b) Bright-field image and (c) electron hologram of the end of a chain of $\text{Fe}_{0.56}\text{Ni}_{0.44}$ particles. The hologram was recorded using an interference fringe spacing of 2.6 nm. Reprinted with permission from [16.23], John Wiley and Sons

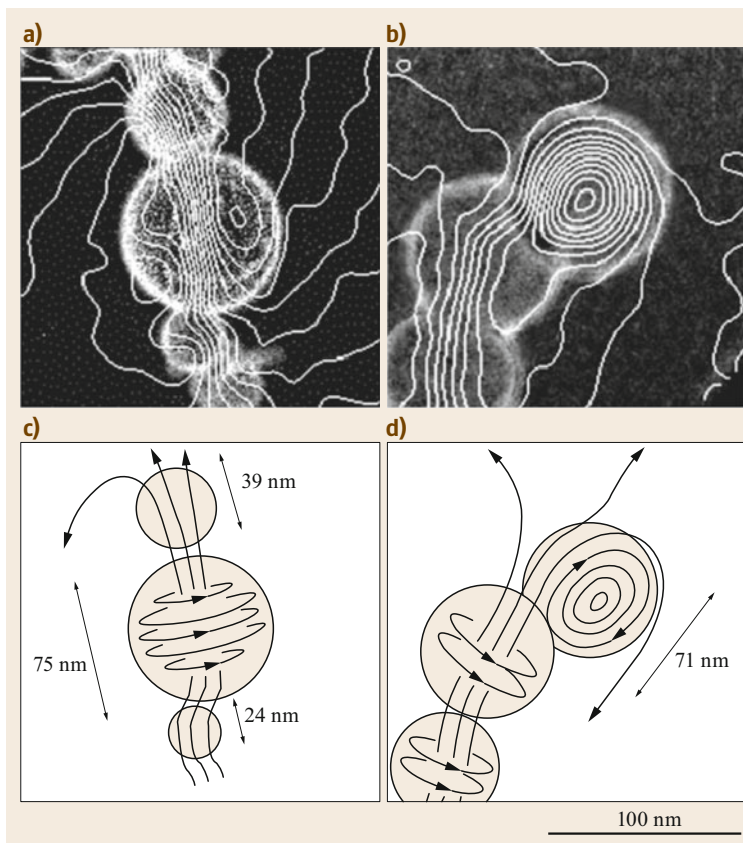


Fig. 16.11 (a,b) Experimental phase contours showing the strength of the local in-plane magnetic induction (integrated in the electron beam direction) in two different chains of $\text{Fe}_{0.56}\text{Ni}_{0.44}$ particles, recorded with the electron microscope objective lens switched off. The particle diameters are: (a) 75 nm between two smaller particles; (b) 71 nm at the end of a chain. Contours, whose spacings are 0.083 and 0.2 rad for images (a) and (b), respectively, have been overlaid onto oxygen maps of the particles recorded using a Gatan imaging filter. The mean inner potential contribution to the measured phase shift has been removed from each image. (c) and (d) show schematic representations of the magnetic microstructure in the chains. Magnetic vortices spinning about the chain axis are visible in (c) and (d). A vortex spinning perpendicular to the chain axis is also visible in (d). Reprinted with permission from [16.23], John Wiley and Sons

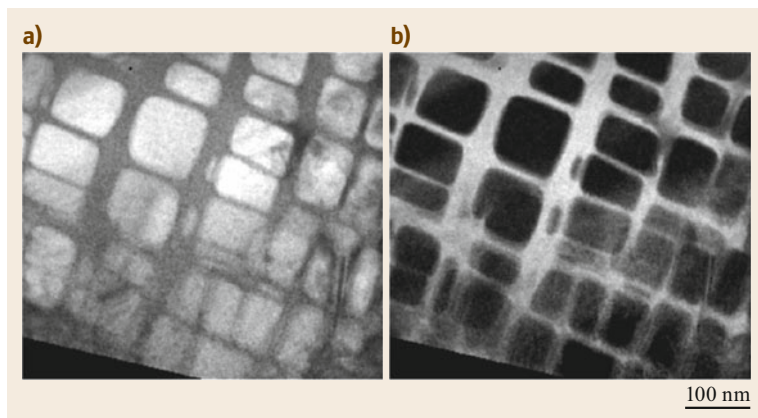


Fig. 16.12a,b Three-window background-subtracted elemental maps acquired from a naturally occurring titanomagnetite sample with a Gatan imaging filter using (a) the Fe *L* edge and (b) the Ti *L* edge. Brighter contrast indicates a higher concentration of Fe and Ti in (a) and (b), respectively. Reprinted with permission from [16.23], John Wiley and Sons

in 30–70 nm particles. Particles with an alloy concentration of $\text{Fe}_{0.10}\text{Ni}_{0.90}$ were observed to contain wider flux channels (≈ 70 nm in diameter) and single-domain states when the particles were above ≈ 100 nm in size [16.144]. Magnetic vortices have also been observed using electron holography in more complicated arrangements of $\text{Fe}_{0.20}\text{Ni}_{0.80}$ nanocrystals [16.145]. The complexity of such vortex states highlights the importance of controlling the shapes, sizes and positions of closely spaced magnetic nanocrystals for applications in magnetic storage devices.

Planar Arrays of Magnetite Nanoparticles

The magnetic behavior of the chains and rings of magnetic nanocrystals described above contrasts with that of a regular two-dimensional array of closely spaced nanomagnets. Figure 16.12a,b shows chemical maps of a crystalline region of a naturally occurring magnetite-ulvöspinel ($\text{Fe}_3\text{O}_4\text{-Fe}_2\text{TiO}_4$) mineral specimen, which exsolved during slow cooling to yield an intergrowth of magnetite-rich blocks separated by non-magnetic ulvöspinel-rich lamellae [16.146]. The Fe and Ti chemical maps shown in Fig. 16.12 were obtained using three-window background-subtracted elemental mapping with a Gatan imaging filter. Exsolution lamellae subdivide the grain into a fairly regular array of magnetite-rich blocks. The specimen thickness increases from 70 nm at the top of the chosen region to 195 nm at the bottom. The magnetite blocks are, therefore, roughly equidimensional.

Remanent magnetic states were recorded by tilting the specimen in zero field and turning the objective lens on fully to saturate the sample, in order to provide a known starting point from which further fields could be applied. The objective lens was then turned off, the specimen tilted in zero field in the opposite direction, and the objective lens excited partially to apply a known in-plane field component to the specimen in the opposite direction. The objective lens was switched

off and the sample tilted back to 0° in zero field to record each hologram. This procedure was repeated for a number of different applied magnetic fields [16.147]. Mean inner potential contributions to the measured phase shifts were removed using a different procedure from that used for the chains and rings of nanoparticles described above. Although both thickness and composition vary in the magnetite-ulvöspinel specimen, the different compositions of magnetite and ulvöspinel are compensated by their densities in such a way that their mean inner potentials are almost exactly equal. As a result, only a thickness correction is required. The local specimen thickness across the region of interest was determined in units of inelastic mean free path by using energy-filtered imaging. This thickness measurement was then used to determine the mean inner potential contribution to the phase shift, which was in turn used to determine the magnetic contribution to the phase. Figure 16.13 shows eight of the resulting remanent magnetic states recorded after applying the in-plane fields indicated. Just as in Fig. 16.9, black contour lines provide the direction and magnitude of the projected magnetic induction in the plane of the sample, which can be correlated with the positions of the magnetite blocks (outlined in white). The direction of the measured magnetic induction is indicated using colors and arrows, according to the color wheel shown at the bottom of the figure. Figure 16.13 shows that the magnetic domain structure in this sample is extremely complex. In Fig. 16.13, the smallest block observed to form a vortex is larger than the predicted minimum size of 70 nm for vortices to form in *isolated* cubes of magnetite. The abundance of single-domain states implies that they have lower energy than vortex states in the presence of strong magnetostatic interactions between closely adjacent blocks. The demagnetizing energy, which normally destabilizes the single-domain state with respect to the vortex state in isolated particles, is greatly reduced in an array of strongly interacting particles.

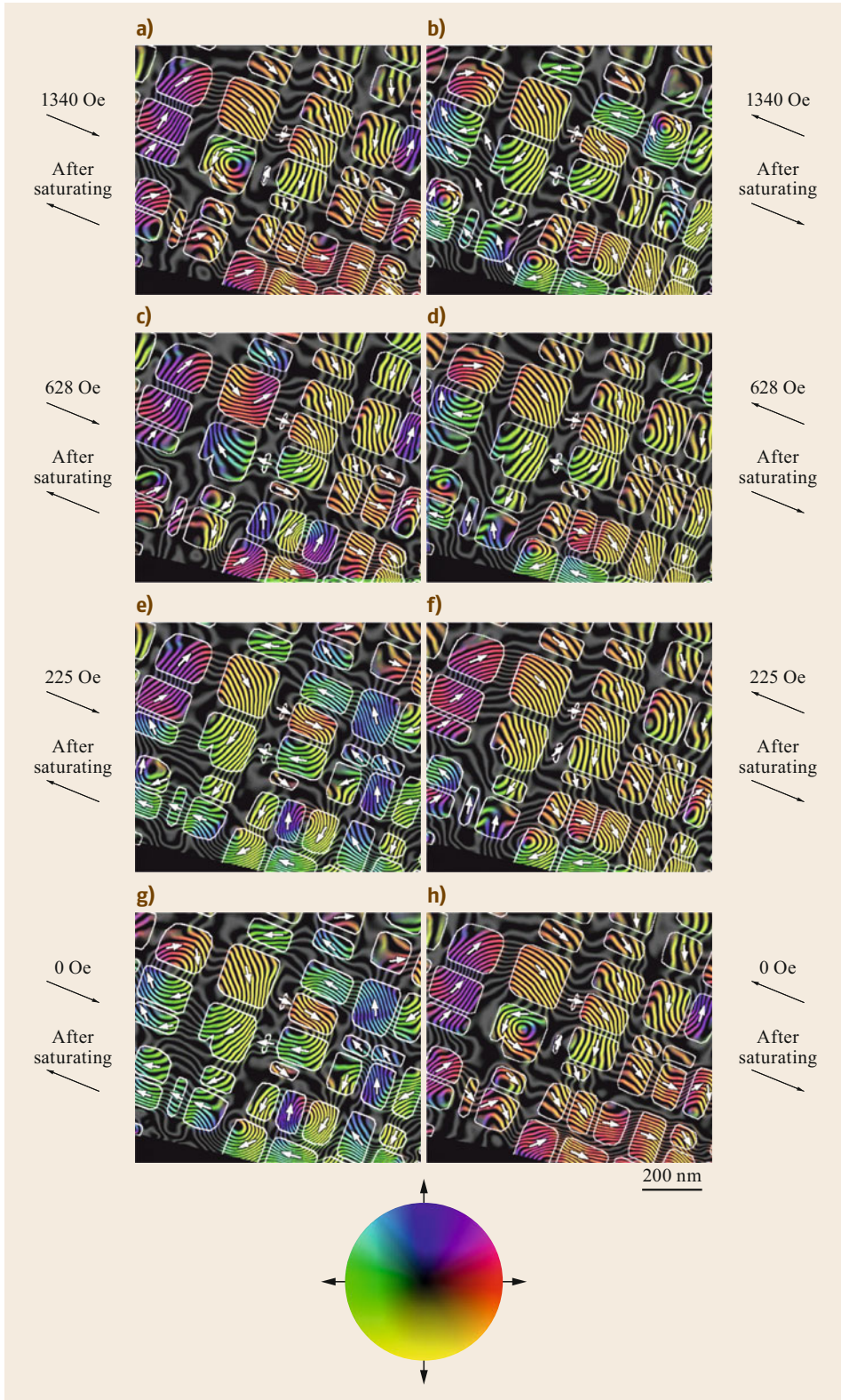


Fig. 16.13a-h Magnetic phase contours from the region shown in Fig. 16.12, measured using off-axis electron holography. Each image was acquired with the specimen in magnetic-field-free conditions. The outlines of the magnetite-rich regions are marked in *white*, while the direction of the measured magnetic induction is indicated both using *arrows* and according to the *color wheel* shown at the bottom of the figure. Images (a), (c), (e) and (g) were obtained after applying a large (> 10 000 Oe) field toward the top left, then the indicated field toward the bottom right, after which the external magnetic field was removed for hologram acquisition. Images (b), (d), (f) and (h) were obtained after applying identical fields in the opposite directions. Reprinted from [16.147]. Copyright (2002) National Academy of Sciences, U.S.A.

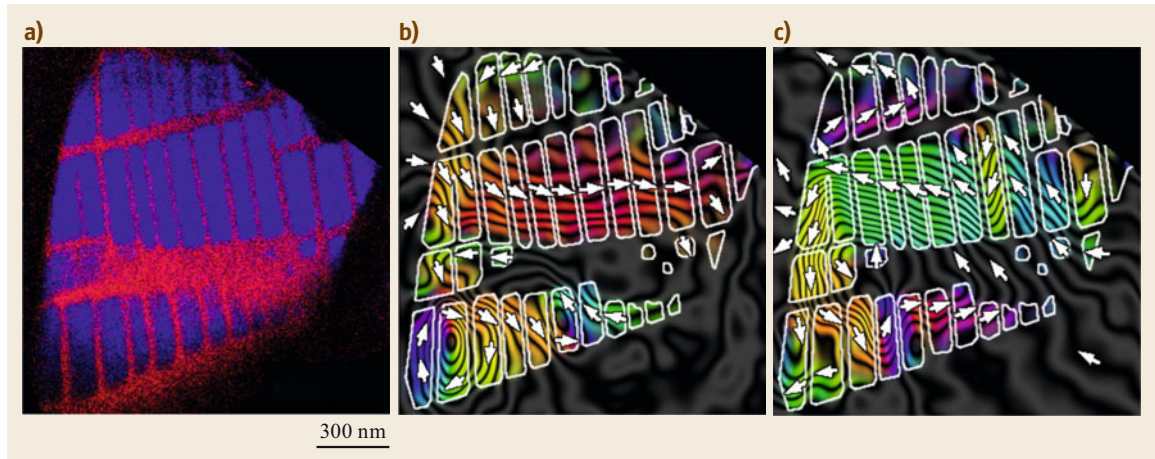


Fig. 16.14 (a) Chemical map of a finely exsolved naturally occurring titanomagnetite inclusion within pyroxene, acquired using a Gatan imaging filter. The blue regions show the positions of magnetite (Fe_3O_4) blocks, which are separated from each other by paramagnetic ulvöspinel (Fe_2TiO_4) lamellae. (b,c) shows magnetic phase contours, measured using electron holography from the same region after magnetizing the sample using two different values of the magnetic field provided by the conventional microscope objective lens. The in-plane component of the applied field was always vertical on the page. The *black contour lines* show the direction and magnitude of the projected in-plane magnetic induction, which can be correlated with the positions of the magnetite blocks (*outlined in white*). The direction of the measured induction is also indicated using *colors* and *arrows*. Each image was acquired with the specimen in magnetic-field-free conditions. Reprinted with permission from [16.148], John Wiley and Sons

Figure 16.14 illustrates similar results obtained from a study of magnetite-ulvöspinel inclusions in clinopyroxene [16.148]. In this sample, strong magnetostatic interactions between adjacent magnetite blocks constrain their remanent magnetization direction to lie almost perpendicular to their elongation directions and to the applied field direction. Interactions between magnetic microstructure and ferroelastic twin boundaries in pure magnetite samples above and below the Verwey transition have also been investigated in detail using electron holography [16.149–151].

Planar arrays of much smaller (≈ 15 nm) self-assembled Co nanoparticles have been studied using electron holography, in order to understand the influence of magnetostatic (dipolar) interactions on the correlation between particle arrangement and magnetic order [16.152]. Dipolar ferromagnetism, antiferromagnetism and local flux closure were observed in one-dimensional and quasi-two-dimensional arrays, depending on the particle arrangement. Ferromagnetic order was shown to exist in the nanoparticle assemblies even when their structural arrangement was disordered.

Lithographically Patterned Magnetic Nanostructures

Specimen preparation presents a major challenge for many samples of interest that contain nanostructured magnetic materials. An example is provided by

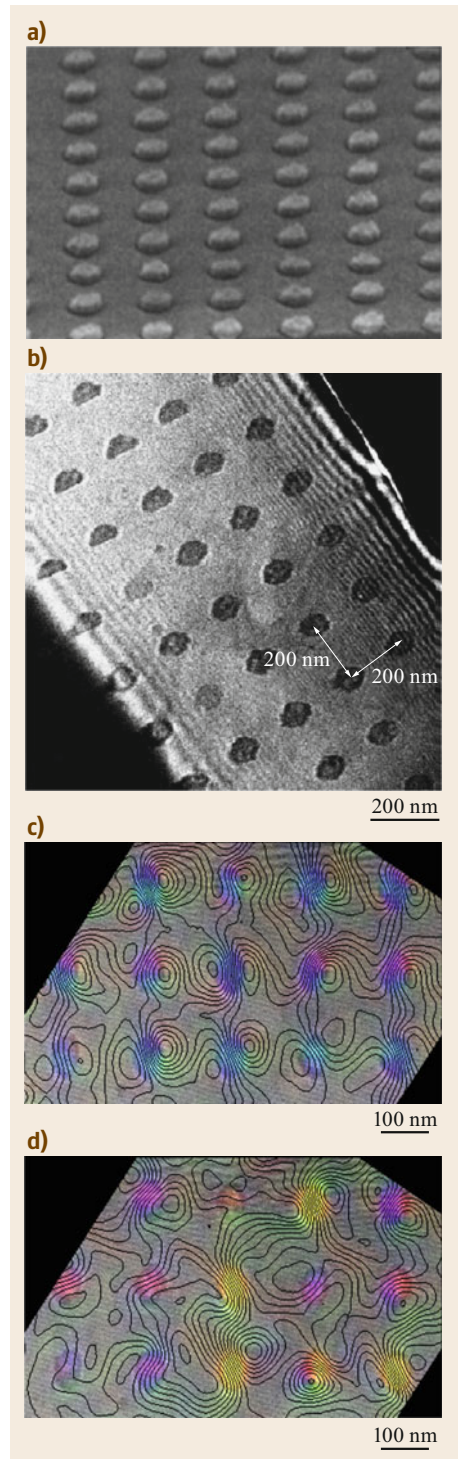
a study of nanomagnet arrays that were fabricated directly on a Si substrate using interferometric lithography [16.153]. Figure 16.15a shows a scanning electron microscope image of nominally 100 nm-diameter 20 nm-thick Co dots fabricated on Si in a square array of side 200 nm. The dots were prepared for TEM examination using FIB milling in plan-view geometry, by micro-machining a trench from the substrate side of the specimen to leave a freestanding $10 \times 12 \mu\text{m}$ membrane of crystalline Si, which had a thickness of approximately 100 nm and contained over 3000 Co dots. Figure 16.15b shows an off-axis electron hologram recorded from part of the electron-transparent membrane containing the dots. The specimen was tilted slightly away from zone axis orientations of the underlying Si substrate to minimize diffraction contrast. The specimen edge is towards the bottom left of the figure [16.154, 155]. Figure 16.15c,d shows contours of spacing $0.033 \approx \pi/94$ rad superimposed on the (slightly smoothed) magnetic contribution to the holographic phase, for two different remanent magnetic states of the Co dots. In Fig. 16.15c, which was recorded after saturating the dots upwards and then removing the external field, the dots are oriented magnetically in the direction of the applied field. In contrast, in Fig. 16.15d, which was recorded after saturating the dots upwards, applying a 382 Oe downward field and then removing the external field, the dots are magnetized in a range of

Fig. 16.15 (a) Scanning electron microscope (secondary electron) image of 100 nm-diameter 20 nm-thick Co dots fabricated on Si in a square array of side 200 nm using interferometric lithography. (b) Off-axis electron hologram of part of an electron-transparent membrane containing the dots, prepared using FIB milling. The hologram was acquired at 200 kV using a Philips CM200 FEGTEM, a biprism voltage of 160 V, a holographic interference fringe spacing of 3.05 nm and an overlap width of 1.04 μm . (c,d) show magnetic contributions to the measured electron holographic phase shift for two remanent magnetic states. The contour spacing is 0.033 rad. (c) was formed by saturating the dots upwards and then removing the external field. (d) was formed by saturating the dots upwards, applying a 382 Oe downward field and then removing the external field. Reprinted from [16.154]. © IOP Publishing. Reproduced with permission. All rights reserved ▶

different directions. The experiments show that the dots are sometimes magnetized out of the plane (e. g., at the bottom left of Fig. 16.15d). The measured saturation magnetizations are smaller than expected for pure Co, possibly because of oxidation or damage sustained during specimen preparation. Similar electrodeposited 57 nm-diameter 200 nm-high Ni pillars arranged in square arrays of side 100 nm, which were prepared for TEM examination using FIB milling in cross-sectional geometry, have also been examined [16.156]. Despite their shape, not all of the Ni pillars were magnetized parallel to their long axes. Instead, they interacted with each other strongly, with two, three or more adjacent pillars combining to form vortices.

Similar results to those shown in Fig. 16.15 have been obtained from a wide range of other lithographically patterned structures, many of which show multi-domain behavior [16.23, 157, 158]. Few phase contours are visible outside such elements when they support magnetic flux closure states. Electron holography has also been used to provide information about magnetic interactions between closely separated ferromagnetic layers within individual Co/Au/Ni spin-valve elements [16.159]. The presence of two different contour spacings at different applied fields in such elements is associated with reversal of the magnetization direction of the Ni layer in each element before the external field is reduced to zero, as a result of flux closure associated with the strong magnetic fringing field of the magnetically more massive and closely adjacent Co layer.

The use of a TEM specimen holder equipped with multiple electrical contacts has enabled the use of Lorentz TEM and electron holography to study the competing effects of heating and spin torque on the current-induced motion of transverse and vortex-type



domain walls in lithographically patterned permalloy wires [16.160]. The device comprised permalloy zigzag structures with line widths and thicknesses of 430 and

11 nm, respectively. Electron holograms were recorded at sequential positions of a magnetic domain wall that was moved along a wire using 10 μs pulses with a current density of $3.14 \times 10^{11} \text{ A m}^{-2}$. It was observed that a transverse wall initially formed at a kinked region of the wire after the application of a magnetic field. After applying a current pulse, the magnetic domain wall moved by $\approx 2 \mu\text{m}$ in the direction of electron flow and transformed into a vortex-type wall. After a second pulse, the vortex-type magnetic domain wall moved slightly in the same direction and became distorted, with the long axis of the vortex increasingly perpendicular to the wire length. This behavior may be associated with edge roughness or defects, which may restrict movement of the wall. After a third pulse, the magnetic domain wall moved 260 nm further and retained its vortex state. More recent studies have involved the injection of smaller currents to influence thermally activated magnetic domain wall motion between closely adjacent pinning sites [16.161].

Magnetic Skyrmions

Magnetic skyrmions are nanoscale spin objects that can be stabilized in chiral magnets and bilayer thin films due to the Dzyaloshinskii–Moriya interaction (DMI) [16.162–164]. The ability to move magnetic skyrmions using extremely low electrical currents [16.165] has triggered interest in their use in new magnetic data storage and spintronics technologies, in which the skyrmions are proposed to act as data bit carriers. Figure 16.16 shows electron holographic magnetic induction maps recorded from Bloch-type magnetic skyrmions in a specimen of *B20*-type FeGe that had been prepared for TEM examination using FIB milling [16.166–168]. The sample was examined below the magnetic transition temperature T_C of 278.3 K using a liquid nitrogen cooling holder, while controlling the precalibrated magnetic field applied to the sample by changing the current of the microscope objective lens. In order to separate the magnetic contribution to the phase shift from the mean inner potential contribution, phase images were recorded at both low temperature and room temperature. The phase images were then aligned and subtracted from each other (on the assumption that the mean inner potential is the same and that there are no changes in diffraction contrast or specimen charging between the two temperatures). Below the transition temperature, the material is observed to adopt a helical magnetic structure in zero magnetic field, which transforms into a skyrmion lattice upon applying a perpendicular (out-of-plane) magnetic field to the sample.

Figure 16.16 shows that a hexagonal skyrmion lattice initially forms upon cooling the sample below the

critical temperature in the presence of a small out-of-plane magnetic field. The average magnetic phase shift of an individual skyrmion was measured to be ≈ 0.7 rad at 95 K for the present sample thickness. The magnitude of the measured phase shift decreased gradually as the temperature was increased to 280 K. These observations suggest that the critical temperature in a thin film of *B20*-FeGe is identical to the bulk value. As the out-of-plane magnetic field was increased to 300 mT at a constant temperature of 200 K, the skyrmion lattice started to deviate from a hexagonal arrangement, becoming disordered at approximately 350 mT. A gradual decrease in skyrmion core diameter was accompanied by an expansion of the lattice period. At 400 mT, the number of skyrmions decreased significantly. Between adjacent skyrmions, the sample then became fully saturated by the applied magnetic field. At 450 mT, no skyrmions remained and the sample was fully saturated magnetically parallel to the applied magnetic field direction. The measured magnetic phase shifts of individual skyrmions were observed to decrease with increasing applied magnetic field.

In a separate study, the detailed magnetic configurations of individual skyrmions in a lattice arrangement were analyzed [16.169]. It was shown that there is no significant dependence of skyrmion structure on temperature. The influence of confining skyrmions to a narrow stripe of *B20*-FeGe was also studied experimentally. FIB-prepared wedge-shaped samples and theoretical calculations were used to create a temperature versus applied magnetic field phase diagram [16.170]. It was shown that a distorted helical spin structure, which formed at a low value of applied magnetic field, transformed into a pure edge twist, a single skyrmion chain or a zigzag skyrmion chain in the presence of an applied magnetic field, depending on the width of the stripe. The skyrmions in the stripe were observed to exhibit longitudinal and transverse elliptical distortions. This work was subsequently extended to studies of target skyrmions confined to nanoscale disks of *B20*-FeGe [16.171].

Co Nanowires

An important question relates to the minimum size of a nanostructure in which magnetic fields can be successfully characterized using electron holography. This point was addressed in an early study of 4 nm-diameter single-crystalline Co nanowires [16.172]. The difficulty of this measurement results from the fact that the mean inner potential contribution to the phase shift at the center of a 4 nm wire relative to that in vacuum is 0.57 rad (assuming a value for V_0 of 22 V), whereas the step in the magnetic contribution to the phase shift across the wire is only 0.032 rad (assuming a value

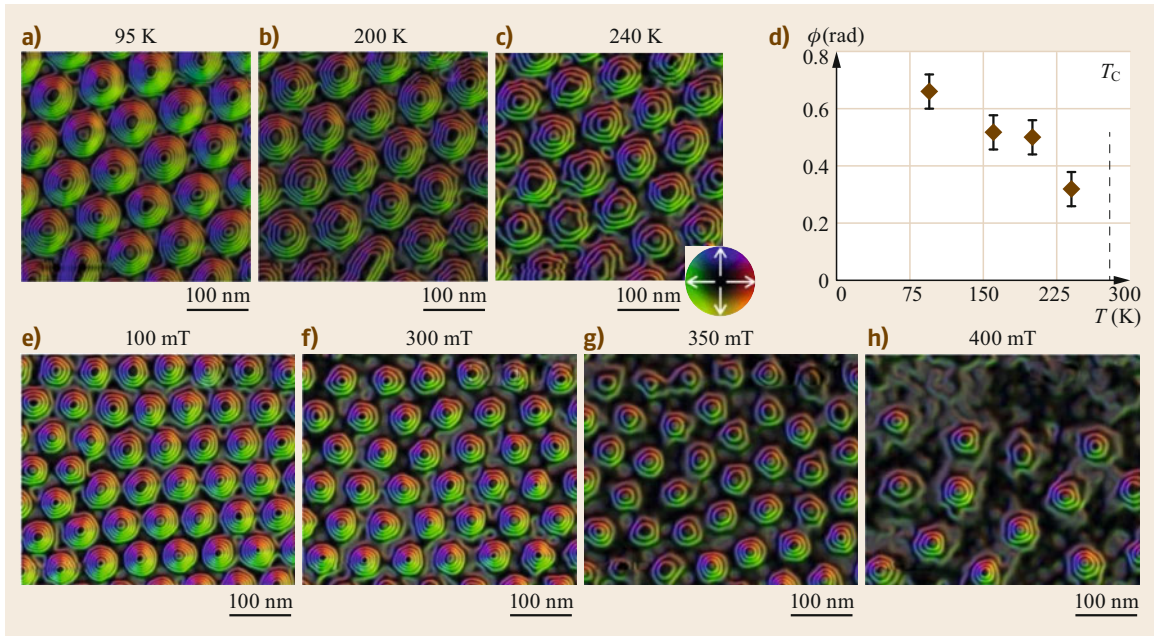


Fig. 16.16a–h Temperature and magnetic field dependence of skyrmions in *B20*-type FeGe. **(a–c)** Magnetic induction maps of a skyrmion lattice recorded in a 100 mT out-of-plane magnetic field at 95, 200 and 240 K, respectively. The magnetic induction maps were generated from magnetic phase images obtained using off-axis electron holography. **(d)** Phase shifts across skyrmions measured from magnetic phase images, plotted as a function of temperature. **(e–h)** Magnetic induction maps of a skyrmion lattice recorded at 200 K in out-of-plane magnetic fields of 100, 300, 350 and 400 mT, respectively. The contour spacing in each magnetic induction map is $2\pi/64 = 0.098$ rad. A color wheel is shown alongside **(c)**. Adapted from [16.166]

for B of 1.6 T). Figure 16.17a shows a bright-field TEM image of a bundle of 4 nm-diameter Co wires, which are each between a few hundred nanometers and several hundred micrometers in length. Magnetic contributions to the phase shift were obtained by recording two holograms from each area of interest, with the wires magnetized parallel and then antiparallel to their length by tilting the sample by $\pm 30^\circ$ about an axis perpendicular to the wire axis and using the conventional microscope objective lens to apply a large in-plane field to the specimen. The lens was then switched off and the sample returned to zero tilt to record each electron hologram. This procedure relies on the ability to reverse the magnetization in the sample exactly, which is a good assumption for such narrow and highly anisotropic wires. Figure 16.17b shows the magnetic contribution to the measured phase shift for an isolated wire, in the form of contours that are spaced 0.005 rad apart. The contours have been overlaid onto the mean inner potential contribution to the phase shift, so that they can be correlated with the position of the wire. The magnetic signal is weak and noisy, and was smoothed before forming the contours. The closely spaced contours along the length of the wire confirm

that it is magnetized along its axis. The fact that they are not straight is intriguing. However, it may result simply from smoothing of the signal, which is noisy and weak.

Figure 16.18a shows a montage of three holograms obtained close to the end of a bundle of the same Co wires, which was magnetized approximately parallel to its length. The magnetic contribution to the phase shift is shown in Fig. 16.18b in the form of contours, which are spaced 0.25 rad apart. The nanowires can be seen to channel the magnetic flux efficiently along their length, and they fan out as the field decreases in strength at the end of the bundle. Although the signal from the bundle of nanowires appears to obscure that from individual wires and junctions, these details can be recovered by increasing the density of the contours [16.172]. The slight asymmetry between the contours on either side of the bundle in Fig. 16.18b may result from the fact that the holographic reference wave is affected by the magnetic leakage field of the bundle, which acts collectively as though it were a single wire of larger diameter. The step in magnetic phase across the bundle is 9.0 ± 0.2 rad, which is consistent with the presence of 280 ± 7 ferromagnetically coupled wires.

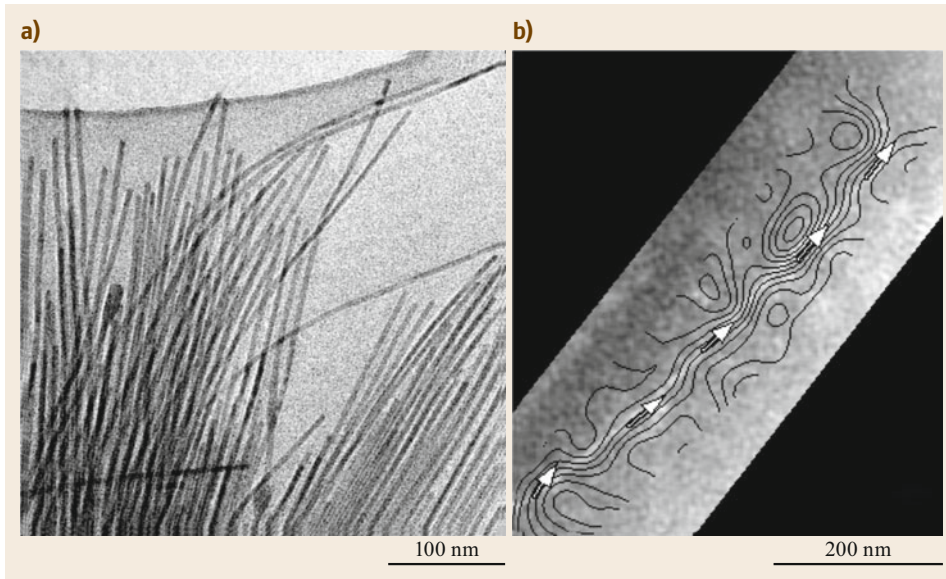


Fig. 16.17 (a) Bright-field TEM image of the end of a bundle of Co nanowires adjacent to a hole in a carbon support film. (b) Contours (0.005 rad spacing) generated from the magnetic contribution to the phase shift for a single isolated Co nanowire, superimposed onto the mean inner potential contribution to the measured phase shift. Reprinted from [16.172], with the permission of AIP Publishing

A more recent study of nanowires consisting of periodic layers of magnetically soft layers of CoFeB and nonmagnetic layers of Cu fabricated by electrodeposition in nanoporous alumina membranes revealed a dependence of the magnetization direction of the ferromagnetic segments, relative to the nanowire axis, on wire diameter and layer thickness [16.173].

Cross-Sectional Specimens

One of the most challenging problems for electron holography of magnetic materials is the quantitative measurement of the magnetic properties of nanometer-scale magnetic layers that are examined in cross section. The primary difficulty is the presence of rapid and unknown variations in both the composition and

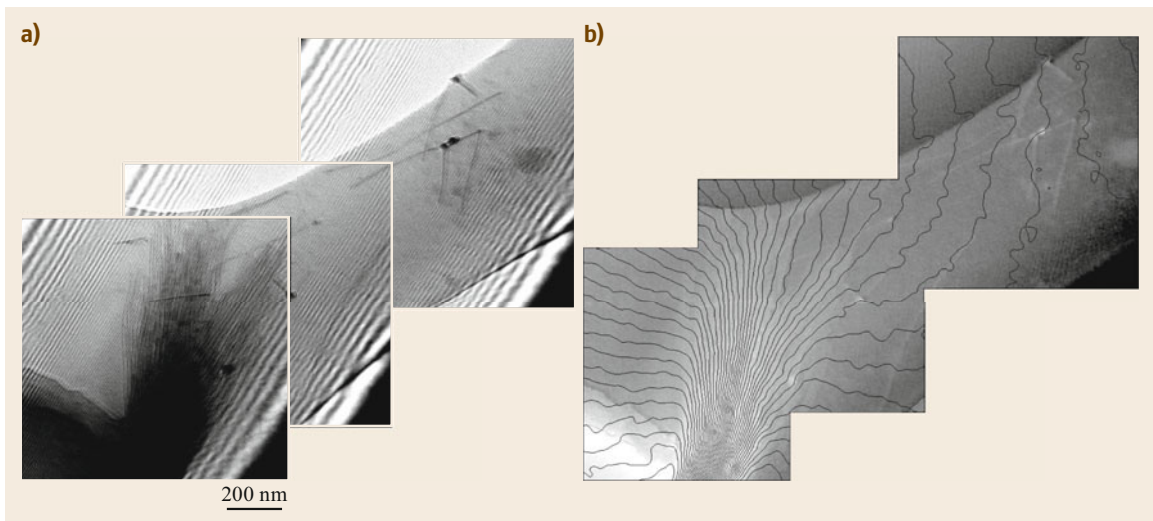


Fig. 16.18 (a) Montage of three electron holograms acquired from the end of a bundle of Co nanowires. The biprism voltage is 210 V, the acquisition time for each hologram 16 s, the holographic interference fringe spacing 3.9 nm, and the holographic overlap width 1160 nm. No objective aperture was used. (b) Magnetic remanent state, displayed in the form of contours (0.25 rad spacing), generated from the measured magnetic contribution to the electron holographic phase shift after saturating the wires in the direction of the axis of the bundle. The contours are superimposed onto the mean inner potential contribution to the phase shift. Reprinted from [16.172], with the permission of AIP Publishing

the thickness of the specimen, from which the weak magnetic signal must be separated. In a cross-sectional sample, the effects of variations in specimen thickness on the measurements cannot be eliminated by using the normalized amplitude of the hologram (16.14), both because the mean free path in each material in such a cross-sectional specimen is usually unknown and because the amplitude image is generally noisy and may contain strong contributions from diffraction and Fresnel contrast. However, by rearranging (16.8) and (16.16), it can be shown that, in the absence of strong Fresnel contrast in the magnetic contribution to the phase, specimen thickness effects may be removed by plotting the difference in phase gradient between images in which the magnetization has reversed, divided by the average of their phases, multiplied by a constant and by the value of the mean inner potential in each magnetic layer separately [16.121]. Formally, this procedure can be written

$$\left(\frac{C_E \hbar V_0(x, y)}{e}\right) \left(\frac{\Delta \left[\frac{d\phi(x, y)}{dx}\right]}{\langle \phi(x, y) \rangle}\right) = \frac{\Delta B_{\perp}(x, y)}{\left(1 - \left[\frac{e}{C_E \hbar V_0(x, y)}\right]\right) \left[\frac{\int B_{\perp}(x, y) t(x, y) dx}{t(x, y)}\right]}. \quad (16.23)$$

According to (16.23), by combining phase profiles and their gradients (evaluated in a direction perpendicular to the layers) from successive holograms between which the magnetization direction in the specimen was reversed, the thickness profile can be eliminated and the magnetic induction in each layer can be determined quantitatively. Both the magnitude and the sign of $\Delta B_{\perp}(x, y) = 2B_{\perp}(x, y)$ are obtained exactly using (16.23) if the magnetization reverses exactly everywhere in the sample. (The denominator on the right-hand side of the equation is then unity.) Furthermore, nonzero values are returned only in regions where the

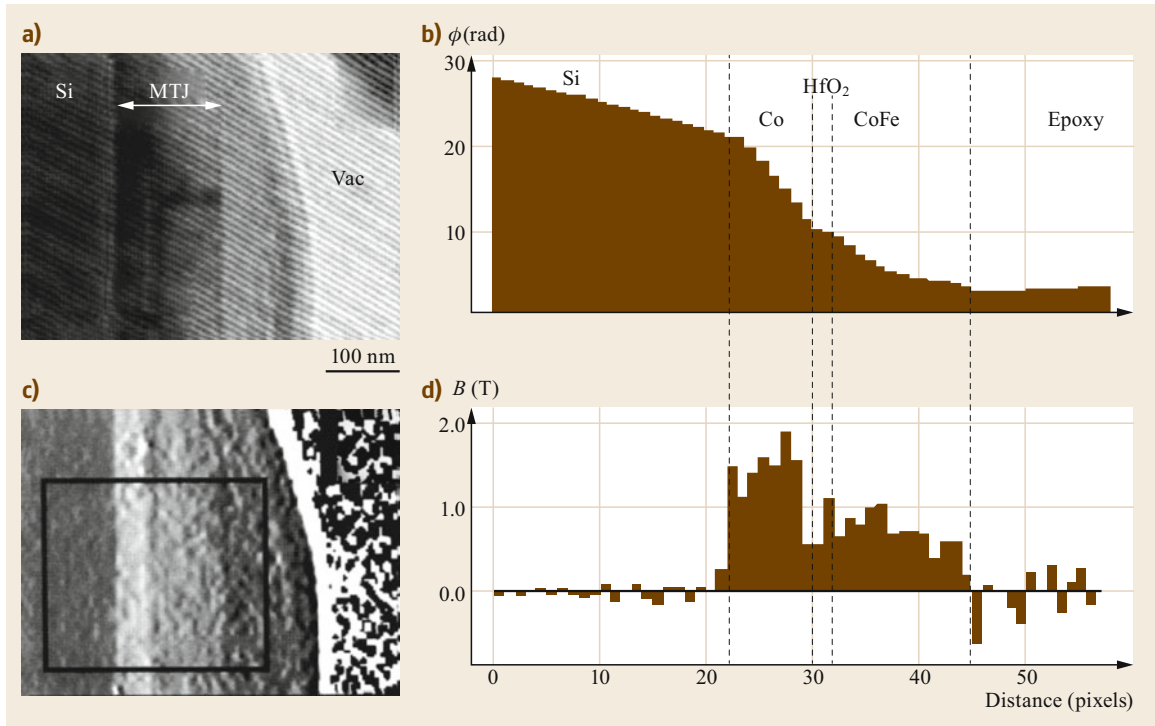


Fig. 16.19 (a) Off-axis electron hologram obtained from a magnetic tunnel junction containing a 4 nm HfO₂ tunnel barrier. (b) Measured phase profile across the layers in the tunnel junction structure. (c) Image formed by recording two holograms with opposite directions of magnetization in the specimen, and subsequently taking the difference between the recorded phase gradients (calculated in a direction perpendicular to the layers) and dividing by the average of the two phases. (d) Measured magnetic induction in the tunnel junction sample, generated by multiplying a line profile obtained from image (c) by a constant (see text for details), with the vertical scale now plotted in units of Tesla. 1 pixel = 2.8 nm. Reprinted from [16.174]. © IOP Publishing. Reproduced with permission. All rights reserved

magnetization has changed. Figure 16.19 illustrates the application of (16.23) to a cross-sectional magnetic tunnel junction that contains a layer sequence of 22 nm Co/4 nm HfO₂/36 nm CoFe on a Si substrate [16.174]. Two holograms were obtained, similar to that shown in Fig. 16.19a, between which the magnetization directions of the Co and CoFe layers in the specimen were reversed in situ in the electron microscope. Figure 16.19b shows an unwrapped phase profile obtained from the hologram in Fig. 16.19a by taking a line profile in a direction perpendicular to the layers. Phase profiles from the two holograms appeared almost identical irrespective of the direction of magnetization. The application of (16.23) to the two phase images results in the image shown in Fig. 16.19c. The line profile in Fig. 16.19d was obtained by averaging Fig. 16.19c parallel to the direction of the layers. As predicted, Fig. 16.19d, which should by now be independent of variations in composition and specimen thickness, is nonzero only in the magnetic layers and yields a value for the magnetic induction in the Co layer of 1.5 T (assuming a mean inner potential of 25 V).

Similar approaches have been used to remove specimen thickness and mean inner potential contributions from phase images of La_xCa_{1-x}MnO₃ acquired at different temperatures [16.175, 176], as well as from phase images recorded from a GdBa₂Cu₃O₇/La_{0.75}Sr_{0.25}MnO₃ superlattice above and below the Curie temperature of the manganite layers [16.177]. Thicker magnetic layers that display more complicated magnetic states in cross section have also been studied using electron holography [16.178, 179].

16.3.4 Quantitative Measurements, Micromagnetic Simulations and Resolution

A particular strength of electron holography is its ability to provide quantitative information about magnetic properties. The magnetic moment of a nanoparticle can be obtained from the relation

$$\mathbf{m} = \int \int \int \mathbf{M}(\mathbf{r}) d^3\mathbf{r}, \quad (16.24)$$

where $\mathbf{M}(\mathbf{r})$ is the position-dependent magnetization and \mathbf{r} is a three-dimensional position vector. Unfortunately, an electron holographic phase image does not provide direct information about $\mathbf{M}(\mathbf{r})$, but is proportional to the projection (in the electron beam direction) of the in-plane component of the magnetic induction $\mathbf{B}(\mathbf{r})$ both within and around the specimen. Fortunately, it has been shown that the magnetic moment of an isolated crystal can be measured quantitatively by integrating the gradient of a phase image around the crystal

using a circular integration contour [16.180]. The resulting measurement is model-independent, does not rely on assumptions such as uniform magnetization of the particle or a priori knowledge such as the particle's morphology and/or composition, and is free of most artifacts if the calculation is performed as a function of the radius of the integration circle and extrapolated to a circle of zero radius. Furthermore, since the integration loop encloses the object and never crosses its boundaries, the procedure can be applied to a reconstructed phase image without the need for separating the mean inner potential and magnetic contributions to the phase.

A model-based approach was also recently developed for the reconstruction of *magnetization* distributions in nanoscale materials that involves the application of an iterative reconstruction algorithm to one or more magnetic phase images recorded using off-axis electron holography [16.181]. The advantage of using a model-based approach is that each trial solution satisfies known physical laws. The initially ill-posed problem was replaced by a least-squares minimization problem. First-order Tikhonov regularization was applied and a mask was used to localize magnetized objects. All measures were combined into a cost function whose minimization was facilitated by conjugate gradient methods. Diagnostic tools were used to assess the quality of the reconstruction result. Sources of magnetization outside the field of view were accounted for by introducing buffer pixels. A confidence array was used to exclude other identifiable artifacts from the reconstruction. Encouraging experimental results were obtained from the reconstruction of projected magnetization distributions of magnetic skyrmions examined in both extended films and geometrically confined structures fabricated using FIB milling [16.167, 168].

In general, the need to compare electron holographic measurements with micromagnetic simulations results from the sensitivity of the magnetic domain structure in nanoscale materials and devices to their detailed magnetic history. Differences in the starting magnetic states on a scale that is too small to distinguish visually, as well as inter-element coupling and the presence of out-of-plane magnetic fields, are all important for the formation of subsequent domain states, and in particular for the sense (the handedness) with which magnetic vortices unroll [16.182]. The sensitivity of the magnetic domain structure to such effects emphasizes the need to correlate high-quality experimental holographic measurements with micromagnetic simulations. Such comparisons, which have recently been illustrated for samples that include twinned crystals of magnetite [16.149–151], meteoritic metal intergrowths [16.183], nanoscale cubes of Fe [16.184],

domain walls in Ni nanocylinders [16.185] and hard disk drive write poles [16.186], are facilitated by the availability of software that can convert the results of micromagnetic calculations directly into simulated phase images [16.151, 181, 187].

The spatial resolution that can be achieved in phase images is determined primarily by the spacing of the holographic interference fringes. However, the contrast of these fringes decreases as their spacing is reduced, and the recording process is also dominated by Poisson-distributed shot noise [16.188]. These parameters are affected by the illumination diameter, exposure time and biprism voltage. The final *phase resolution* [16.38] and *spatial resolution* are always inherently linked, in the sense that a small phase shift can be measured with

high precision and poor spatial resolution, or with low precision but high spatial resolution. In each of the examples described above, the recorded phase images were always smoothed slightly to remove noise, and the spatial resolution of the magnetic information was estimated at typically between 5 and 20 nm. This procedure is necessarily subjective, and great care is required to ensure that artifacts are not introduced. Higher spatial and phase resolution can be achieved by recording several holograms of each area of interest and subsequently averaging the resulting phase images [16.44, 45]. Recently, by using a 1.2 MeV TEM, *Tanigaki et al.* [16.189] succeeded in achieving 0.67 nm spatial resolution in magnetic induction mapping of CoFeB/Ta layers using electron holography.

16.4 Measurement of Electrostatic Fields

In this section, the application of electron holography to the characterization of electrostatic fields is reviewed. Initial examples are taken from the characterization of electrostatic fringing fields outside electrically biased nanotips. The challenges that are associated with imaging dopant contrast at depletion layers in semiconductors are then described, before discussing the characterization of interfaces at which both charge redistribution and changes in chemistry can introduce significant local variations in phase shift.

16.4.1 Electrically Biased Nanotips

Early experiments on tungsten microtips demonstrated that electron holography could be used to measure electrostatic fringing fields outside electrically biased samples [16.190]. Subsequent studies were carried out on pairs of parallel 1 μm -diameter Pt wires held at different potentials [16.191] and on single conducting wires [16.192], and simulations were presented for electrostatic phase plates [16.193]. More recent experiments have involved the use of electron holography to map electrostatic potentials around the ends of electrically biased carbon nanotubes and field-emitting needles. In one of the first such studies, a three-axis manipulation electrode was used to position a multi-walled carbon nanotube approximately 6 μm from a gold electrode [16.194], as shown in Fig. 16.20a. Depending on the applied bias between the nanotube and the gold electrode, electrons were emitted from the nanotube. The left-hand column of Fig. 16.20b shows contoured (wrapped) phase images recorded both before a bias V_b was applied to the specimen, and for a bias above the threshold for field emission (approximately 70 V). The

upper phase shift map ($V_b = 0$) is featureless around the nanotube, whereas the lower map ($V_b = 120$ V) shows closely spaced 2π phase contours. The right-hand column in Fig. 16.20b shows the corresponding phase gradient for each image. When $V_b = 0$, the phase gradient is featureless around the nanotube, whereas it is concentrated around the nanotube tip when $V_b = 120$ V. The images shown in Fig. 16.20b were interpreted by comparison with simulations, calculated on the assumption that the nanotube could be approximated by a line charge along which the charge distribution was varied until a close fit to the data was found. The fit to the 120 V phase data in Fig. 16.20b provided a value of 1.22 V nm^{-1} for the electric field at the nanotube tip. This field was stable over time, even when the emission current varied.

Similar electron holographic observations of phase variations outside biased nanotips were subsequently reported outside TaSi₂ nanowires [16.195], cone-shaped carbon nanotips [16.196–198] and atom probe needles [16.199, 200].

A model-independent method was recently developed to allow the projected charge density distribution in a TEM specimen to be measured directly from the Laplacian of a recorded electron holographic phase image [16.201]. Fortunately, even if the vacuum reference wave that is used to form the electron hologram is perturbed by charges within the region of interest or outside the field of view, measurement of the charge within the object is unaffected, unlike the phase image itself. This approach was applied to the measurement of electron-beam-induced charge density in a bundle of single-walled carbon nanotubes [16.201] and an MgO nanoparticle [16.202]. Great care is required to avoid

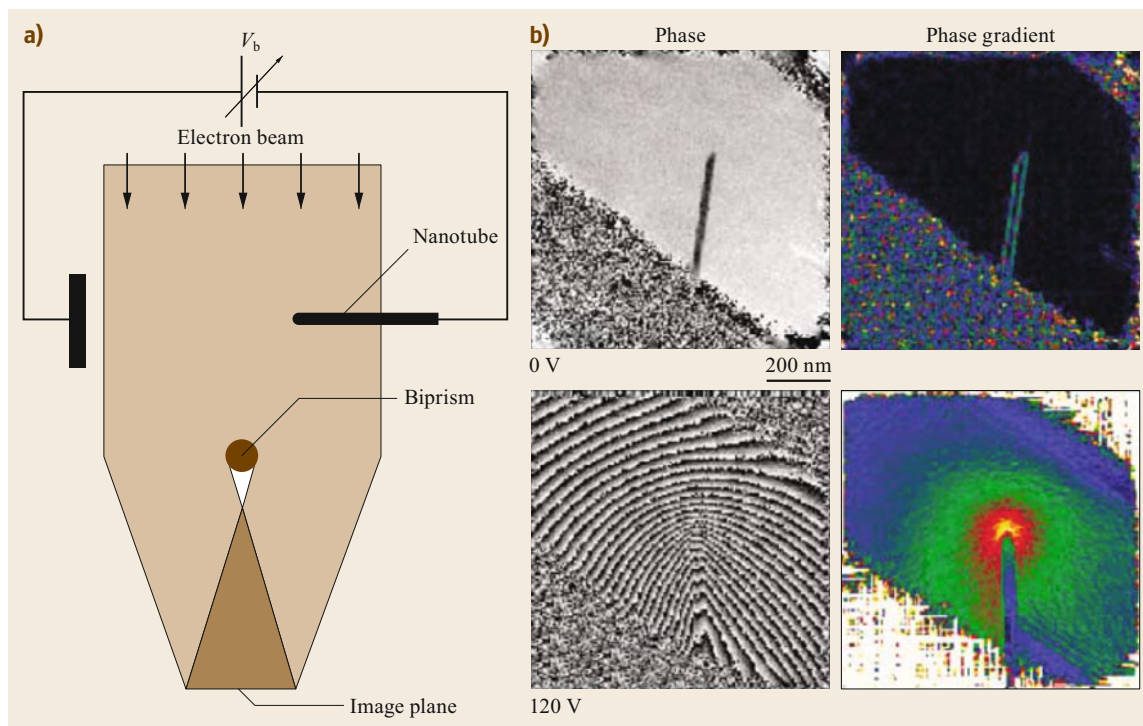


Fig. 16.20 (a) Schematic diagram of the experimental setup used to record electron holograms of field-emitting carbon nanotubes. (b) Phase shift and phase gradient maps determined from electron holograms of a single multi-walled carbon nanotube at bias voltages of 0 and 120 V. The phase gradient indicates where the electric field is strongest. Note the concentration of the electric field at the nanotube tip when the bias voltage is 120 V. Reprinted with permission from [16.194]. Copyright 2002 by the American Physical Society

misinterpretation of the charge density distribution recovered using this approach, as a result of contributions to the recorded phase from local variations in mean inner potential and specimen thickness [16.203].

Figure 16.21 illustrates the application of off-axis electron holography to the study of an electrically biased Fe needle that contains yttrium oxide nanoparticle inclusions. A voltage was applied between the needle and a counter-electrode that was placed coaxially with the needle at a distance of ≈ 400 nm from it [16.200]. The results were interpreted both by fitting the recorded phase shift to a simulated phase image modeled using two lines of constant but opposite charge density and from the Laplacian of the recorded phase. Both approaches required subtraction of the magnetic contribution to the recorded phase shift (resulting from the fact that the needle is made from ferromagnetic Fe and that the microscope was operated in Lorentz mode). This subtraction was achieved by evaluating the difference between phase images recorded at two different bias voltages, as shown in Fig. 16.21a–c. This approach also automatically results in subtraction of the mean inner potential contribution to the phase

shift, which was found to be essential for the latter (Laplacian) approach, as described above. Cumulative charge profiles along the needle measured using the model-independent (Laplacian) approach revealed the presence of charge accumulation at the apex of the needle. On the assumption of cylindrical symmetry, the three-dimensional electrostatic potential and electric field around the needle could be inferred from the results, as shown in Fig. 16.21d, which shows a central slice of the three-dimensional potential (colors) and electric field (white lines) around the needle.

16.4.2 Dopant Potentials in Semiconductors

An important challenge for electron holography is the quest for a reliable, quantitative approach for the characterization of electrostatic potentials associated with charge redistribution at depletion regions in doped semiconductors. Attempts to tackle this problem have been made since the 1960s using different phase contrast techniques, both experimentally [16.204, 205] and theoretically [16.206, 207]. It is now recognized that TEM specimen preparation can have a profound effect

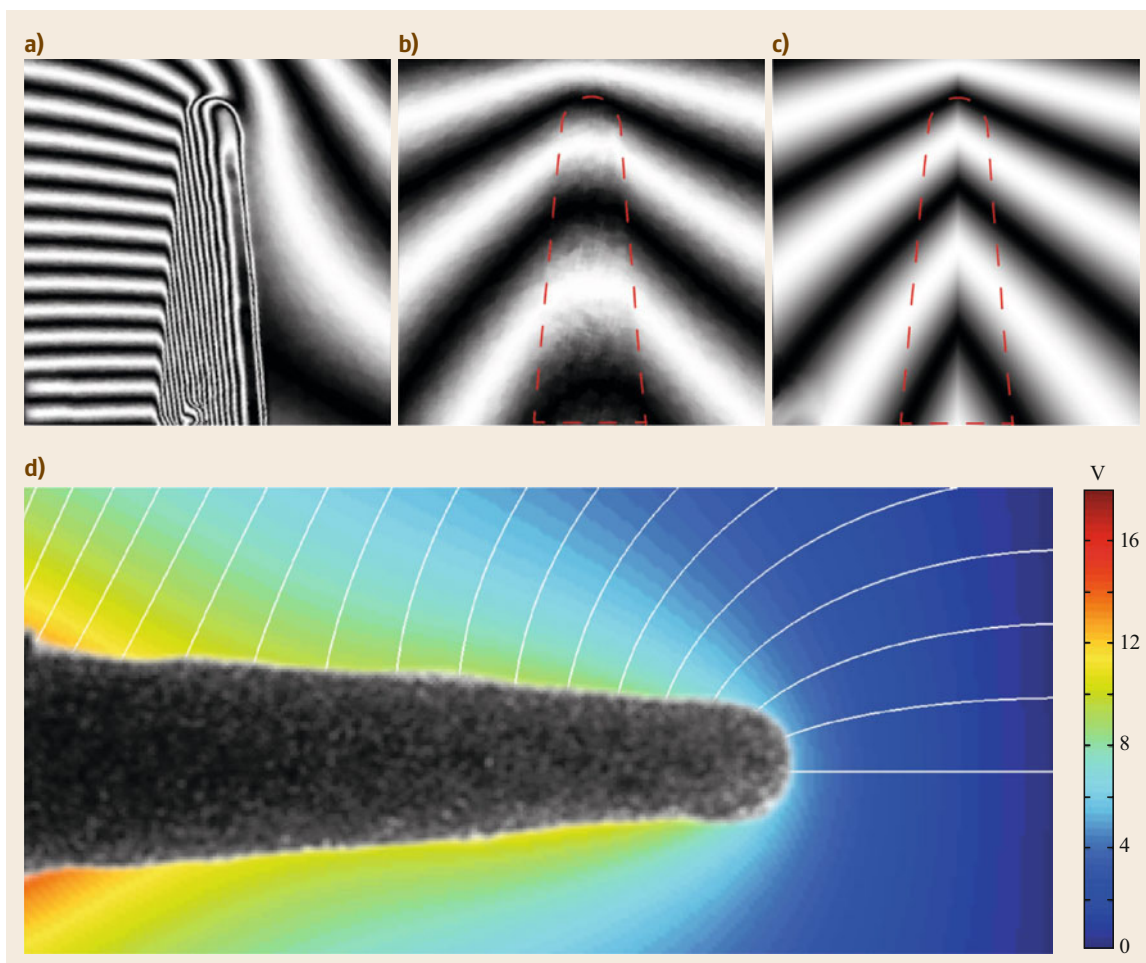


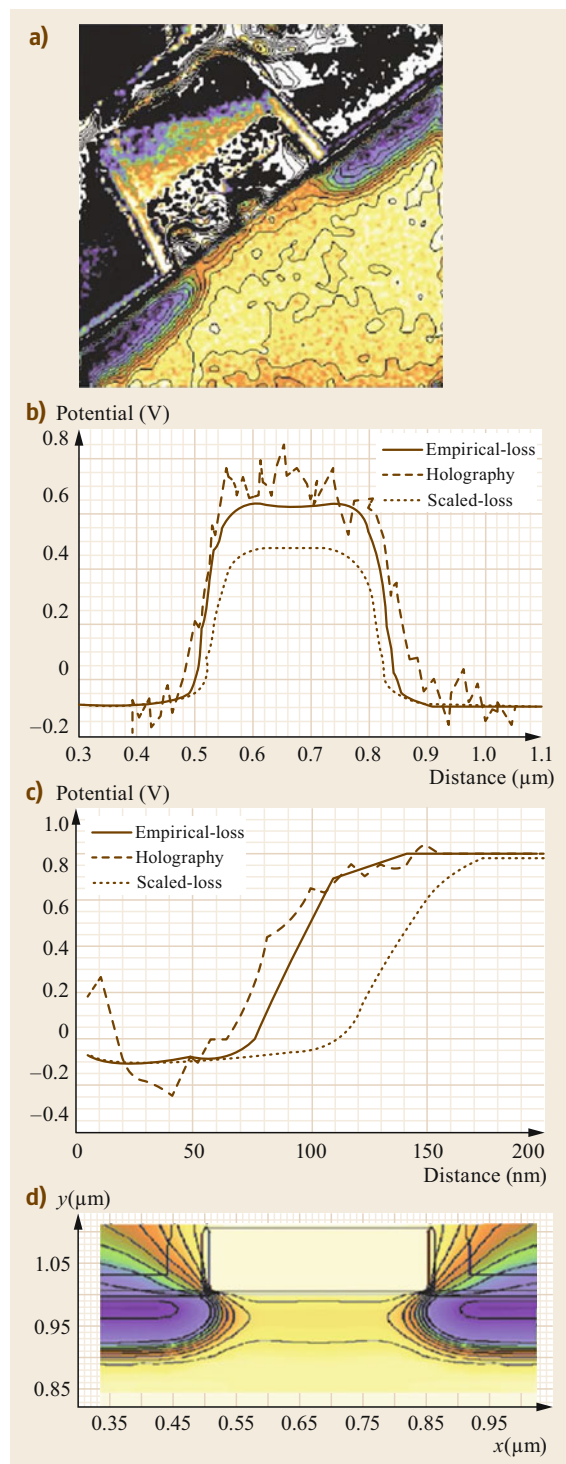
Fig. 16.21 (a–c) Equiphase contours recorded using off-axis electron holography from an electrically biased Fe needle that contains yttrium oxide nanoparticle inclusions. A voltage was applied between the needle and a counter-electrode that was placed coaxially with the needle at a distance of ≈ 400 nm from it. The images correspond to **(a)** an original phase image recorded from the needle; **(b)** the difference between phase images acquired at two different bias voltages; **(c)** a best-fitting model-dependent simulation to the result shown in **(b)**. **(d)** shows a central slice of the three-dimensional distribution of electrostatic potential (*colors*) and electric field (*white lines*) around the needle, inferred from the results shown in **(a–c)** on the assumption of cylindrical symmetry. Reprinted from [16.200], with the permission of AIP Publishing

on phase images of doped semiconductors because of physical damage, pinning of the Fermi level on the free specimen surface, or the implantation of dopant ions such as Ar or Ga during ion milling. An electrically inactive near-surface layer and/or a doped layer, with a thickness that is dependent on the specimen preparation method, may then form at the sample surface. In addition, the specimen may charge up during observation in the TEM to such an extent that all dopant contrast is lost. The effects of specimen preparation, and in particular the electrical state of near-surface regions, most likely account for many anomalous results in early

experiments. Recent studies indicate that it may be possible to resolve these problems, particularly for specimens that contain high dopant concentrations [16.208].

The first unequivocal demonstration of two-dimensional mapping of electrostatic potential in an unbiased doped semiconductor device using electron holography was achieved for metal oxide semiconductor (MOS) Si transistors by *Rau et al.* [16.209]. The source and drain regions in the transistors were visible in phase images with a spatial resolution of 10 nm and an energy resolution of close to 0.10 eV. Differential thinning was discounted as a cause of the observed

phase shift, and an optimal specimen thickness of 200–400 nm was identified for such experiments. The transistors were prepared for TEM examination using



conventional mechanical polishing and Ar ion milling. A 25 nm-thick electrically altered layer was identified on each surface of the specimen, and was reported to be responsible for the value of the measured built-in voltage of 0.9 ± 0.1 V across each p-n junction, which was lower than the value of 1.0 V predicted for the specified dopant concentrations.

Electron holography studies of transistors have subsequently been compared with process simulations [16.208, 210]. Figure 16.22a shows a contoured image of the electrostatic potential associated with a 0.35 μm Si device inferred from an electron hologram taken from the work of *Gribelyuk et al.* [16.210]. The contours correspond to potential steps of 0.1 V. The B-doped source and drain regions are clearly delineated. In this study, the specimen was prepared using tripod wedge polishing, followed by limited low-angle Ar ion milling at 3.5 kV. Surprisingly, no electrically altered surface layer needed to be taken into account to quantify the results. Figure 16.22b,c shows a comparison between line profiles obtained from Fig. 16.22a and simulations, both laterally across the junction and with depth from the Si surface. Simulations are shown for *scaled-loss* and *empirical-loss* models, which account for B-implant segregation into the adjacent oxide and nitride layers. The scaled-loss model, which leads to stronger B diffusion, assumes uniform B loss across the device structure, whereas the empirical-loss model assumes segregation of the implanted B at the surfaces of the source and drain regions. In both Fig. 16.22b and Fig. 16.22c, the empirical-loss model provides a closer match to the experimental results. Figure 16.22d shows a simulated electrostatic potential map for the same device based on the *empirical-loss* model, which matches closely with the experimental image in Fig. 16.22a. This study demonstrated successful mapping of the electrostatic potential in 0.13 and 0.35 μm device structures with a spatial resolution of 6 nm and a sensitivity of 0.17 V.

In early applications of electron holography to dopant delineation, which were carried out on chem-

Fig. 16.22 (a) Reconstructed maps of the electrostatic potential distribution in a 0.35 μm semiconductor device structure, with a contour step of 0.1 V, recorded at an accelerating voltage of 200 kV using a Philips CM200 FEGTEM. (b) Lateral and (c) depth profiles obtained from the image shown in (a). Predictions from process simulations for *scaled-loss* and *empirical-loss* models are also shown. (d) Two-dimensional simulated map of the potential based on the *empirical-loss* model, with a contour step of 0.1 V. The dimensions are in μm. Reprinted with permission from [16.210]. Copyright 2002 by the American Physical Society ◀

ically thinned Si samples under reverse bias conditions [16.211], differences between phase images recorded at different bias voltages were used to visualize external electrostatic fringing fields in vacuum close to the positions of p-n junctions. Electrostatic potential profiles were subsequently measured across reverse-biased Si p-n junctions that were prepared for TEM examination using FIB milling [16.212–214]. In this context, it is significant to note that FIB milling is currently the technique of choice for preparing TEM specimens from site-specific regions of integrated circuits. It is therefore important to establish whether electron holography results obtained from unbiased specimens prepared by FIB milling are reliable. It is also important to develop specimen and contacting geometries that allow specimens prepared using this technique to be electrically biased in situ in the TEM.

Although FIB-prepared lamellae containing semiconductor devices can now be routinely electrically biased in commercial specimen holders that are equipped with multiple electrical contacts, here we present results from one of the earliest studies, in which p-n junctions were prepared for in situ electrical biasing by using a 30 kV FEI 200 FIB workstation to machine parallel-sided electron-transparent membranes at the corners of 1×1 mm 90° cleaved squares of wafer that were placed in a home-modified single-tilt holder, as shown schematically in Fig. 16.23a,b. This specimen geometry was chosen because it allowed electrical contacts to be made to the front and back surfaces of a small square of wafer in a home-designed setup. In such studies, care must be taken to expose the region of interest to the focused beam of Ga ions only at a glancing angle to its surface. Figure 16.23c shows a representative electron holographic phase image recorded from an unbiased Si p-n junction sample prepared in this geometry using FIB milling. The *crystalline* specimen thickness was found to be 550 nm using convergent beam electron diffraction. The p-type and n-type regions are clearly delineated as areas of darker and lighter contrast, respectively. An additional *gray* band visible at the specimen edge is likely associated with the presence of an electrically altered layer, which is visible in cross-section here but is also thought to extend around the entire specimen surface. No electrostatic fringing field is visible outside the specimen, indicating that its surface must be an equipotential. Line profiles across the junction were obtained from phase images acquired with different reverse bias voltages applied to a specimen of crystalline thickness 390 nm (Fig. 16.23d), as well as from several unbiased specimens. Each profile in Fig. 16.23d is qualitatively consistent with the expected potential profile for a p-n junction in a specimen of uniform thickness. The height of the potential step

across the junction, $\Delta\phi$, increases linearly with reverse bias voltage V_{appl} , as shown in Fig. 16.23e.

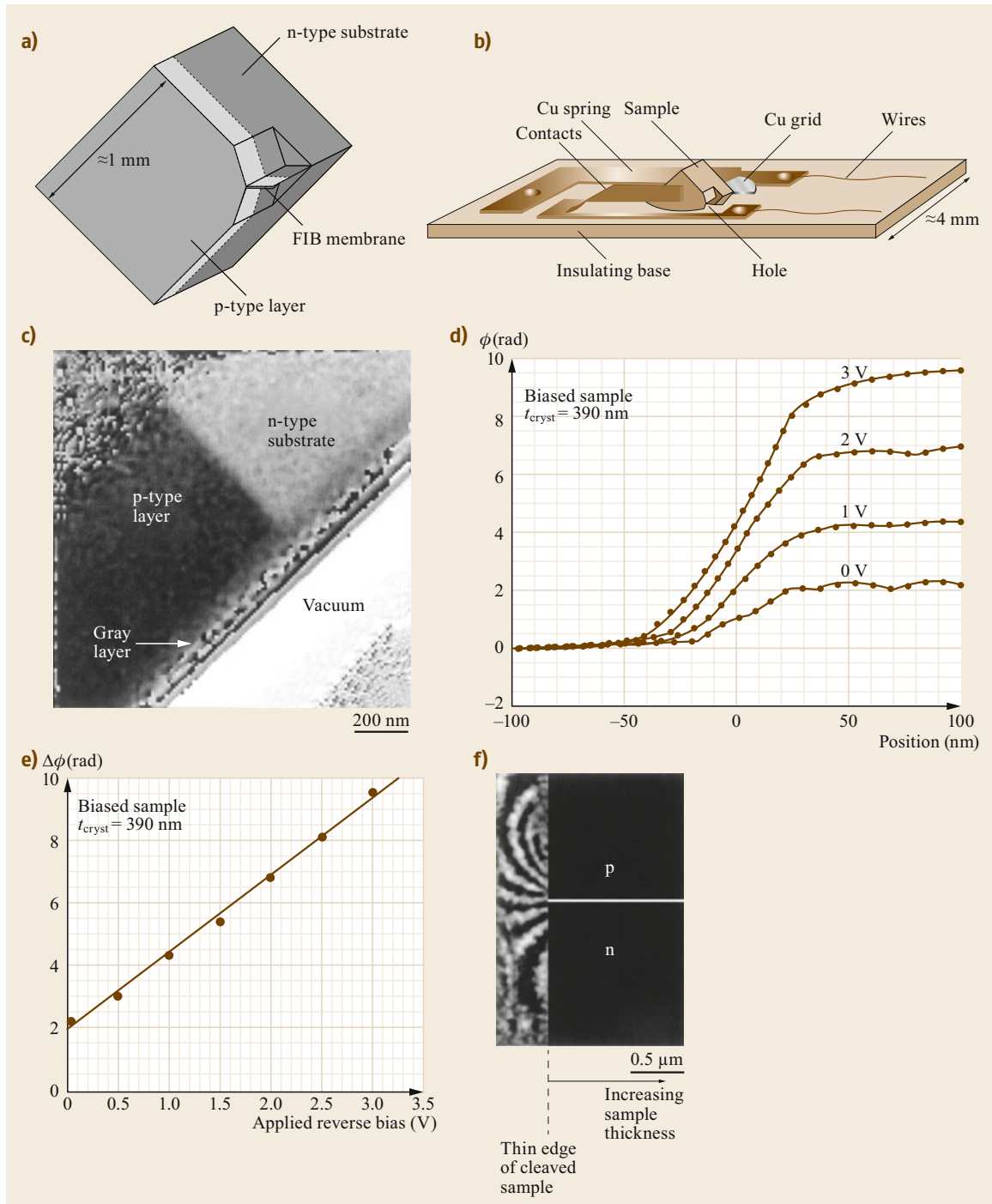
This behavior is described, to a first approximation, by the equation

$$\Delta\phi = C_E(V_{\text{bi}} + V_{\text{appl}})t_{\text{active}}, \quad (16.25)$$

where C_E is defined in (16.7), and the p-n junction is contained in an *electrically active* layer of thickness t_{active} in a specimen of total thickness t . Measurement of the gradient of the graph shown in Fig. 16.23e, which is equal to $C_E t_{\text{active}}$, provides a value for t_{active} of 340 ± 10 nm, suggesting that 25 ± 5 nm of the crystalline thickness on each surface of the TEM specimen is electrically inactive. The intercept with the vertical axis is $C_E V_{\text{bi}} t_{\text{active}}$, which provides a value for the built-in voltage across the junction of 0.9 ± 0.1 V. Depletion widths across the junction measured from the line profiles are higher than expected, suggesting that the electrically active dopant concentration in the specimen is lower than the nominal value. The experiments also show that electrical biasing reactivates some of the dopant that has been passivated by specimen preparation [16.215]. Figure 16.23f shows a fourfold-amplified phase image obtained from a 90° cleaved wedge that had *not* been prepared by FIB milling, for an applied reverse bias of 2 V, where an external electrostatic fringing field is visible outside the specimen edge. Such fringing fields are almost never observed outside FIB-milled specimens, indicating that the surfaces of TEM specimens prepared by FIB milling are usually equipotentials, even under applied bias.

The importance of minimizing and assessing damage, implantation and specimen thickness variations when examining FIB-milled TEM specimens that contain p-n junctions has been highlighted by results obtained from unbiased samples [16.216–219]. One of these experiments involved the use of FIB milling to form a 45° specimen thickness profile, from which both the phase change across the junction and the absolute phase shift relative to vacuum on each side of the junction could be plotted as a function of specimen thickness. The slopes of the phase profiles were then used to determine the built-in voltage across the junction, the mean inner potentials on the p and n sides of the junction, and the electrically altered layer thickness. By using this approach, the measurements of the mean inner potentials on the p and n sides of the junction were 11.50 ± 0.27 and 12.1 ± 0.40 V, respectively. The electrically altered layer thickness was found to be approximately 25 nm on each surface of the specimen.

In contrast to results obtained from Si specimens of similar thickness, it has been shown that the step in phase across a GaAs p-n junction is more strongly af-



affected by the effects of sample preparation using FIB milling. Fortunately, both the phase shift across the junction and the signal to noise ratio in recorded phase images can be improved by using low-temperature annealing, in order to remove defects resulting from Ga⁺

implantation and to reactivate dopant atoms. A similar, although smaller, improvement is seen for FIB-milled Si specimens [16.220]. It is also important to note that the built-in voltage across a p-n junction can be affected by the choice of electron beam current used during ex-

Fig. 16.23 (a) Schematic diagram showing the specimen geometry used for applying external voltages to FIB-milled semiconductor device specimens containing p-n junctions in situ in the TEM. In the diagram, FIB milling has been used to machine a membrane of uniform thickness that contains a p-n junction at one corner of a 90° cleaved wedge. (b) Schematic diagram showing the specimen position in a single-tilt electrical biasing holder. The specimen is glued to the edge of a Cu grid using conducting epoxy and then clamped between two spring contacts on an insulating base. (c) Reconstructed phase image acquired from an unbiased Si sample containing a p-n junction. Note the *gray* layer running along the edge of the specimen, which is discussed in the text. No attempt has been made to remove the 2π phase *wraps* at the edge of the specimen. (d) Phase shift measured across a p-n junction as a function of reverse bias for a single sample of crystalline thickness 390 nm (measured using convergent beam electron diffraction). (e) shows the height of the measured step in phase across the junction as a function of reverse bias. (f) Fourfold-amplified reconstructed phase image, showing the vacuum region outside a p-n junction in a 2 V-reverse-biased cleaved wedge sample that had not been FIB-milled. Reprinted with permission from [16.212]. Copyright 2002 by the American Physical Society ◀

amination in the TEM (and therefore the rate at which charge is dissipated from the area of interest) and that it can then be improved by providing high-quality electrical contacts to the region of interest on the TEM specimen [16.221].

The electrical nature of the surface of a TEM specimen that contains a doped semiconductor can also be assessed by comparing experimental holography results with simulations. Such a comparison of experimental phase images with simulations performed using commercial semiconductor process simulation software [16.222] suggests that electron-beam-induced positive charging of the surface of a TEM specimen, at a level of 10^{13} – 10^{14} cm⁻², can create an inversion layer on the p-side of the junction in a thin TEM specimen. This layer may explain the absence of electrostatic fringing fields outside the specimen surface, which would otherwise dominate the observed phase contrast [16.223]. Figure 16.24 shows the results of finite-element numerical simulations in which semi-classical equations were used to determine the charge density and potential in a thin parallel-sided Si sample containing a p-n junction. In the simulations, the Fermi level on the surface of the specimen was set to a single value to ensure that it was an equipotential [16.224]. The simulations shown in Fig. 16.24 are for symmetrical junctions, in which the dopant concentrations are 10^{18} , 10^{17} and 10^{16} cm⁻³. Contours of spacing 0.05 V are added to each figure. Importantly, as either the dopant concentration or the specimen thickness decreases, a correspondingly smaller fraction of the specimen retains electrical properties that are close to those of the bulk device. In the simulations, the average step in potential across the junction through the thickness of the specimen is almost insensitive to the surface state energy and is *always* reduced from that in the bulk device. This reduction is greatest for lower sample thicknesses and lower dopant concentrations. In practice, as a result of additional complications from oxidation, physical damage and implantation, the simulations shown in Fig. 16.24 are likely to be an

underestimate of the full modification of the potential from that in the original device.

Further calculations of the influence of the electrical state of the specimen surface on the electrostatic potential in a TEM specimen have been performed using calculations based on both density functional theory [16.225–228] and semiconductor process simulations [16.208].

The ways in which the sample preparation technique of *wedge polishing* affects both the *dead layer* thickness and specimen charging have been explored experimentally for a one-dimensional p-n junction in Si by *McCartney* et al. [16.78]. A specimen was prepared from a p-type wafer that had been subjected to a shallow B implant and a deeper P implant, resulting in the formation of an n-type well and a p-doped surface region. Phase images were obtained before and after coating one side of the specimen with approximately 40 nm of carbon. Profiles obtained from the uncoated sample showed an initial increase in the measured phase going from vacuum into the specimen, then dropping steeply and becoming negative at large thicknesses. This behavior was not observed after carbon coating, suggesting that it is associated with sample charging that results from the electron-beam-induced emission of secondary electrons.

Similar charging effects can be seen directly in two dimensions in Fig. 16.25. Figure 16.25a shows a bright-field TEM image of a linear array of transistors, which were originally located ≈ 5 μ m below the surface of a wafer and separated from its surface by metallization layers. Such transistors present a significant but representative challenge for TEM specimen preparation for electron holography using FIB milling, both because the metallization layers are substantial and can result in thickness corrugations in the doped regions of interest, and because these overlayers must often be removed, at least in part, to provide a vacuum reference wave for electron holography. An additional difficulty results from the possibility that the overlayers, which contain silicon oxides, may charge during

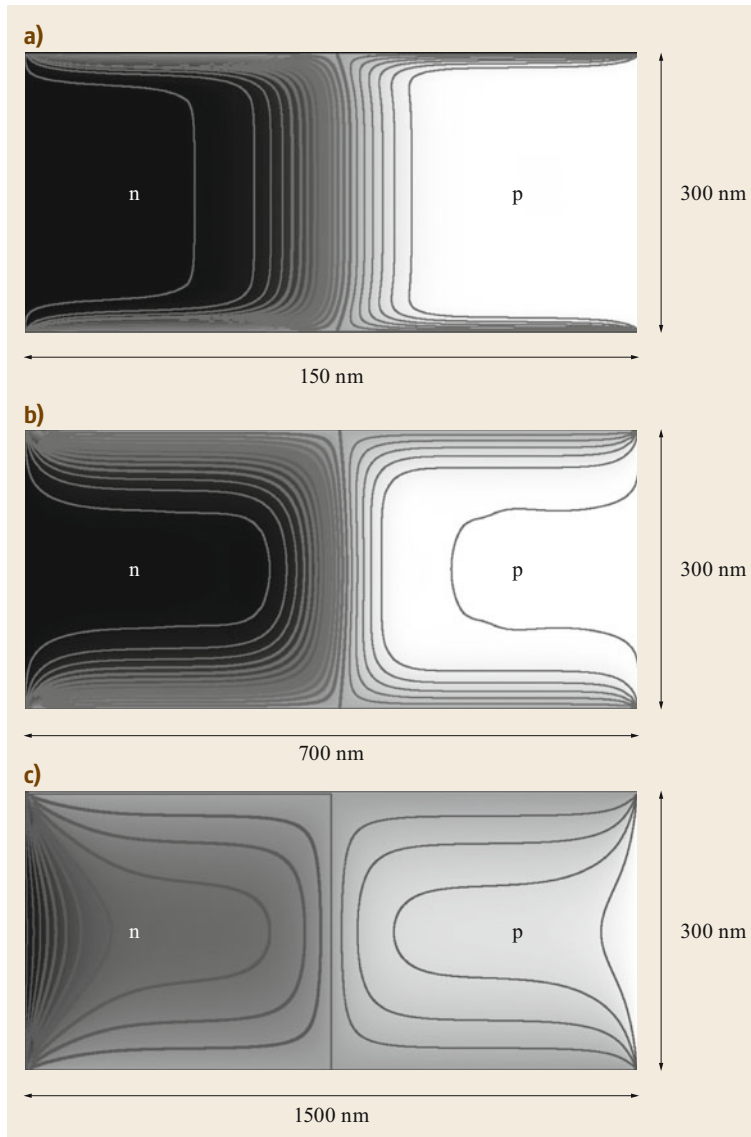
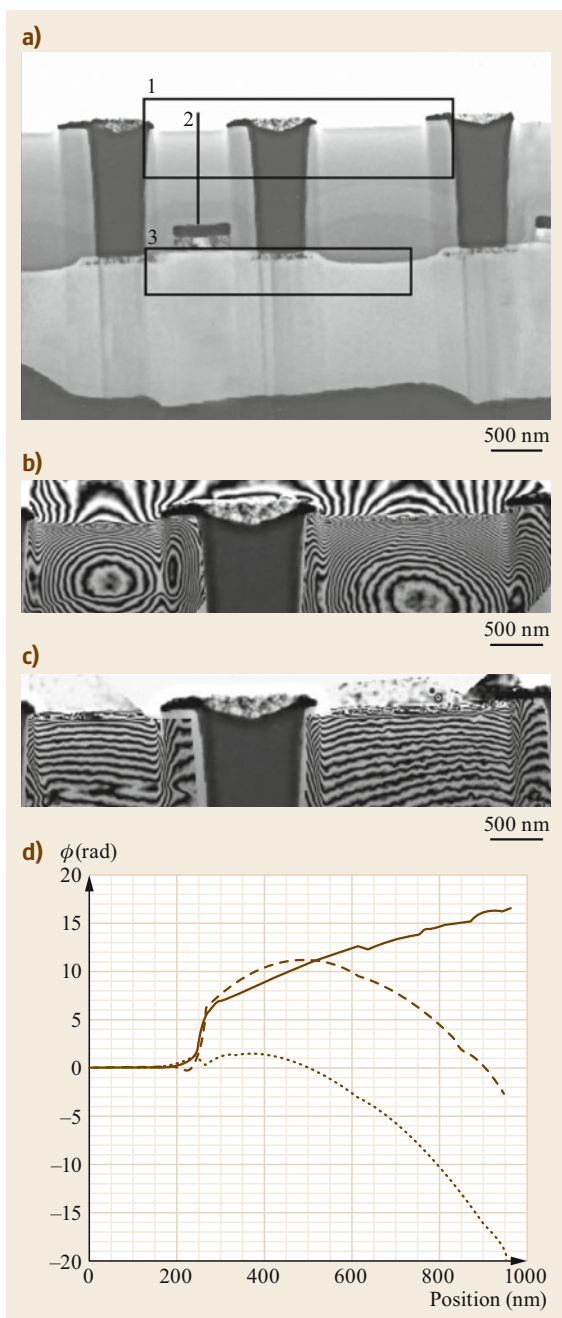


Fig. 16.24a–c Simulations of electrostatic potential distributions in parallel-sided slabs of thickness 300 nm containing abrupt, symmetrical Si p-n junctions formed from (a) 10^{18} , (b) 10^{17} and (c) 10^{16} cm^{-3} of Sb (n-type) and B (p-type) dopants. The potential at the specimen surfaces is 0.7 eV above the Fermi level, and contours of spacing 0.05 V are shown. The horizontal scale is different in each figure in order to show the variation in potential close to the position of the junction. The simulations were generated using a two-dimensional rectangular grid. Reprinted from [16.224], with permission from Elsevier

examination in the electron microscope. Conventional *trench* FIB milling [16.229, 230] was used to prepare the specimen, which has a nominal thickness of 400 nm. Figure 16.25b shows eightfold-amplified phase contours obtained from the region marked 1 in Fig. 16.25a. Instead of the expected phase distribution, which should be approximately proportional to the mean inner potential multiplied by the specimen thickness, elliptical contours are visible in each oxide region, and an electrostatic fringing field is present outside the specimen (at the top of Fig. 16.25b). Both the elliptical contours and the fringing field are associated with the buildup of positive charge in the oxide. The centers of the elliptical contours are several hundreds of nanometers

from the specimen edge. Figure 16.25c shows a similar phase image obtained after coating the specimen on one side with approximately 20 nm of carbon. The effects of charging are now absent, there is no fringing field outside the specimen edge, and the phase contours follow the change in specimen thickness. One-dimensional phase profiles were generated from the phase images used to form Fig. 16.25b,c along the line marked 2 in Fig. 16.25a, and are shown in Fig. 16.25d. The dashed and solid lines correspond to results obtained before and after coating the specimen with carbon, respectively, while the dotted line shows the difference between the solid and dashed lines. If the charge is assumed to be distributed through the thickness of the specimen,



then the electric field in the oxide is approximately $2 \times 10^7 \text{ V m}^{-1}$. This value is just below the breakdown electric field for thermal SiO_2 of 10^8 V m^{-1} [16.231]. Equivalent results obtained from a specimen of 150 nm nominal thickness show that the centers of the elliptical contours in the oxide are closer to the specimen edge. The effect of specimen charging on the dopant potential (in the source and drain regions of the transistors) is

Fig. 16.25a–d Results obtained from a cross-sectional semiconductor device specimen of nominal thickness 400 nm prepared using conventional trench FIB milling. **(a)** Bright-field TEM image of a PMOS (0.5 μm gate) transistor, which forms part of a linear array of similar transistors, indicating the locations of the regions analyzed in more detail in the subsequent figures. The bright bands of contrast above the transistors are W contacts. Thickness corrugations are visible in the Si substrate in each image. The gates are formed from W silicide, while the amorphous layers above the gates and between the W plugs are formed from Si oxides that have different densities. **(b)** Eightfold-amplified phase contours, calculated by combining phase images from several holograms obtained across the region marked 1 in **(a)** using a microscope accelerating voltage of 200 kV and a biprism voltage of 160 V. Specimen charging results in the presence of electrostatic fringing fields in the vacuum region outside the specimen edge, as well as elliptical phase contours within the Si oxide layers between the W contacts. **(c)** shows an equivalent phase image obtained after coating the specimen on one side with approximately 20 nm of carbon to remove the effects of charging. The phase contours now follow the expected mean inner potential contribution to the phase shift in the oxide layers, and there is no electrostatic fringing field outside the specimen edge. **(d)** One-dimensional line profiles obtained from the phase images in **(b,c)** along the line marked 2 in **(a)**. The *dashed* and *solid* lines were obtained before and after coating the specimen with carbon, respectively. The *dotted* line shows the difference between the *solid* and *dashed* lines. Reprinted from [16.81], with permission from Elsevier ◀

just as significant. The phase gradient continues into the substrate, and the dopant potential is undetectable before carbon coating, regardless of whether a phase ramp is subtracted from the images. If FIB milling from the substrate side of the wafer [16.232] is used, then specimen charging no longer occurs, presumably as a result of Si (and Ga) deposition onto the specimen surface. *McCartney* et al. [16.233] provide an overview of this and other techniques for the preparation of semiconductor devices for electron holography.

More recent progress in electron holography of semiconductor devices has focused on improving detection limits [16.234, 235], reducing artifacts [16.236–238], characterizing ever smaller transistor structures reliably [16.239–242], analyzing thinner FIB-prepared specimens that contain higher dopant concentrations [16.208], separating mean inner potential from dopant potential contributions to phase images for very high dopant concentrations [16.243], studying working semiconductor devices in situ in the TEM [16.244], and developing approaches for electron holographic

tomography of dopant potentials in thin TEM specimens [16.84, 91, 245–247].

Although questions still remain about phase contrast observed at simple p-n junctions, electron holography has also been used to infer charge redistribution in more complicated semiconductor device structures in which changes in composition as well as doping concentration are present. One example is provided by a study of a strained n-Al_{0.1}Ga_{0.9}N/In_{0.1}Ga_{0.9}N/p-Al_{0.1}Ga_{0.9}N heterojunction diode, in which strong piezoelectric and polarization fields induce high two-dimensional electron gas concentrations [16.248]. In order to interpret experimental measurements of the potential profile across such a heterojunction, after corrections for specimen thickness changes (assuming a linear thickness profile and neglecting contributions to the measured phase from variations in mean inner potential), additional charge had to be added to simulations. In particular, a sheet of negative charge was included at the bottom of the InGa_{0.9}N well. The sheet charge density at this position was $2.1 \times 10^{13} \text{ cm}^{-2}$. In similar studies, electron holography has been used to study internal electrostatic potentials across nitride quantum wells [16.249, 250] and wurtzite GaN quantum dots [16.251], hole accumulation in Ge/Si core-shell nanowires [16.252], dislocation cores [16.253–255], junctions between ZnSe polytypes [16.256], Pt/Fe:SrTiO₃/Nb:SrTiO₃ thin-film resistive switching structures [16.257], electrically biased resistive switching devices based on thin SiO₂ layers [16.258], core-shell nanowire p-n junctions [16.259, 260], lithiation in Ge nanowires [16.261], electrically biased solid-state electrolytes [16.54] and thin-film solar cells [16.262].

16.4.3 Space Charge Layers at Grain Boundaries

Electron holography has also been used to characterize space charge layers at doped and undoped grain boundaries in electroceramics, despite the fact that several contributions to the electron holographic phase shift can often complicate interpretation. The space charge

distribution that is predicted to form at such a grain boundary [16.263] is often described as a double (back-to-back) Schottky barrier. For Mn-doped and undoped grain boundaries in SrTiO₃, a decrease in the measured phase shift at the boundary relative to that in the specimen was reported [16.264]. The changes in phase measured at the doped boundaries were larger in magnitude and spatial extent than at similar undoped boundaries. Possible contributions to the contrast from changes in density, composition, specimen thickness, dynamical diffraction and electrostatic fringing fields [16.265, 266] were considered, and the remaining contributions to the measured phase shifts at the doped boundaries were attributed to space charge. The sign of the space charge contribution to the specimen potential was consistent with the presence of Mn²⁺ and Mn³⁺ ions on Ti sites at the boundaries. The results were interpreted in terms of a narrow (1–2 nm) region of negative grain boundary charge and a wider (3–5 nm) distribution of positive space charge.

A similar approach has been applied to the characterization of grain boundaries in ZnO, at which a space charge layer width of approximately 150 nm has been measured [16.267]. Defocus contrast has been used to assess possible space charge contributions to electrostatic potential profiles across grain boundaries in doped and undoped SrTiO₃ [16.268]. The contrast observed in these experiments was not consistent with a dominant contribution to the signal from space charge. Defocus contrast recorded from delta-doped layers in Si and GaAs has also been attributed to the presence of space charge [16.243, 269]. The possible presence of electrostatic fringing fields outside interfaces has been considered in a variety of TEM specimens [16.223, 266, 270, 271].

Although related experiments have been performed in attempts to measure polarization distributions across domain boundaries in ferroelectric materials such as BaTiO₃ and PbTiO₃ [16.272–274], it is now recognized that the design and interpretation of such measurements is highly complicated and requires further work [16.275, 276].

16.5 High-Resolution Electron Holography

Aberrations of the TEM objective lens, which result in modifications to the amplitude and phase shift of the electron wave, rarely need to be taken into account when characterizing magnetic and electrostatic fields at medium spatial resolution, as described in Sects. 16.3 and 16.4. However, these aberrations must be considered when interpreting electron holograms that have been acquired at atomic resolution, in which lattice fringes are visible.

The back focal plane of the objective lens contains the Fraunhofer diffraction pattern, i. e., the Fourier transform of the specimen wave

$$\psi_s(\mathbf{r}) = A_s(\mathbf{r}) \exp[i\phi_s(\mathbf{r})],$$

denoted

$$\psi_s(\mathbf{r}) = \psi(\mathbf{q}) = \mathcal{F}\{\psi_s(\mathbf{r})\}.$$

Transfer from the back focal plane to the image plane is then represented by an inverse Fourier transform. For a perfect thin lens, neglecting magnification and rotation of the image, the complex image wave would be equivalent to the object wave $\psi_s(\mathbf{r})$. Modifications to the electron wave that result from objective lens aberrations can be represented by multiplication of the electron wave function in the back focal plane by a transfer function of the form

$$T(\mathbf{q}) = B(\mathbf{q}) \exp[i\chi(\mathbf{q})]. \quad (16.26)$$

In (16.26), $B(\mathbf{q})$ is an aperture function that takes a value of unity for q within the objective aperture and zero beyond the edge of the aperture. The effects of two of the most important objective lens aberrations, defocus and spherical aberration, can be included in the phase factor in the form

$$\chi(\mathbf{q}) = \pi \Delta z \lambda q^2 + \frac{\pi}{2} C_S \lambda^3 q^4, \quad (16.27)$$

where Δz is the defocus of the lens and C_S is the spherical aberration coefficient. The complexity of (16.27) increases rapidly as further aberrations are considered. The complex wave in the image plane can then be written in the form

$$\psi_i(\mathbf{r}) = \mathcal{F}^{-1} \{ \mathcal{F} \{ \psi_s(\mathbf{r}) \} \times T(\mathbf{q}) \} \quad (16.28)$$

$$= \psi_s(\mathbf{r}) \otimes t(\mathbf{r}), \quad (16.29)$$

where $t(\mathbf{r})$ is the inverse Fourier transform of $T(\mathbf{q})$, and the convolution \otimes of the specimen wave $\psi_s(\mathbf{r})$ with $t(\mathbf{r})$ represents the smearing of information that results from lens imperfections. Since both $\psi_s(\mathbf{r})$ and $t(\mathbf{r})$ are generally complex, the intensity of a conventional bright-field TEM image, which can be expressed in the form

$$I(\mathbf{r}) = [\psi_s(\mathbf{r}) \otimes t(\mathbf{r})]^2, \quad (16.30)$$

is no longer related simply to the structure of the specimen.

The effects of lens aberrations can be removed by multiplying the complex image wave by a suitable phase plate corresponding to $T^*(\mathbf{q})$ to provide the amplitude and the phase shift of the specimen wave $\psi_s(\mathbf{r})$ rather than the image wave $\psi_i(\mathbf{r})$. Hence, the interpretable resolution of the image can be improved beyond the point resolution of the electron microscope. The optimal defocus that maximizes the resolution of the reconstructed specimen wave after correction of aberrations [16.278–281] is given by the expression

$$\Delta z_{\text{opt}} = -\frac{3}{4} C_S (\lambda q_{\text{max}})^2, \quad (16.31)$$

where q_{max} is the maximum desired spatial frequency.

Figure 16.26 illustrates one of the first successful applications of aberration correction to a high-resolution electron hologram, in this case for crystalline

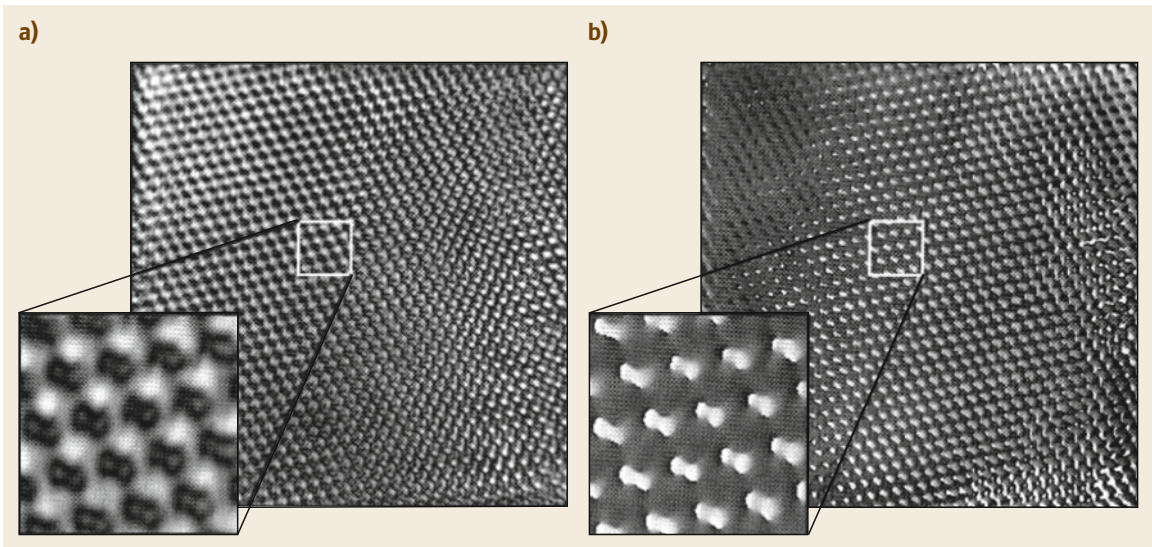


Fig. 16.26a,b High-resolution (a) amplitude and (b) phase images of the aberration-corrected specimen wave reconstructed from an electron hologram of [110] Si, obtained at 300 kV on a CM30 FEGTEM. The spacing of the hologram fringes was 0.05 nm. The sideband contained 111, 220, 113 and 004 reflections, corresponding to lateral information of 0.136 nm. The characteristic Si dumbbell structure is visible only after aberration correction. Reprinted with permission from [16.277]. Copyright 1995 by the American Physical Society

Si imaged at the $\langle 110 \rangle$ zone axis, at which characteristic *dumbbell* contrast, with a spacing of 0.136 nm, is expected [16.277]. The original hologram was acquired using an interference fringe spacing of 0.05 nm on a CM30 FEGTEM, which has a point resolution of 0.198 nm and an information limit of 0.1 nm at 300 kV. Figure 16.26a,b shows the reconstructed amplitude and phase shift of the hologram, respectively, after aberration correction using a phase plate. The phase image reveals the expected white *dumbbell* contrast, at a spatial resolution that is considerably better than the interpretable resolution of the microscope, after lens aberrations including residual astig-

matism and off-axis coma have been measured and removed. Note also that the projected atom column positions are visible as black contrast in the amplitude image.

High-resolution electron holography is clearly an exciting area of research, with many recent developments and applications of the technique to a wide range of materials problems [16.14, 282–286], including recent successful comparisons between experimental phase and amplitude images of two-dimensional transition metal dichalcogenides with simulations based on density functional theory on an absolute scale [16.287, 288].

16.6 Alternative Forms of Electron Holography

Many different forms of electron holography can be envisaged and implemented, both in the TEM and in the scanning transmission electron microscope (STEM) [16.289]. Similarly, there are several ways in which the off-axis mode of TEM electron holography can be implemented. A full discussion of these various schemes, which include interferometry in the diffraction plane of the microscope [16.290], reflection electron holography [16.291] and strain mapping using dark-field electron holography [16.292–295], is beyond the scope of this chapter. Here, selected developments are reviewed.

The need for a vacuum reference electron wave is a major drawback of the standard off-axis mode of TEM holography, since this requirement restricts the region that can be examined to near the specimen edge. In many applications, the feature of interest is not so conveniently located. The implementation of split-illumination electron holography [16.30, 31], an accumulated reconstruction method [16.32] or a DPC mode of electron holography in the TEM enables this restriction to be overcome. DPC imaging is well-established as a technique in the STEM, involving the use of various combinations of detectors to obtain magnetic contrast [16.113, 114, 296]. It has also been shown [16.297] that DPC contrast can be obtained using far-out-of-focus STEM electron holography (see below). An equivalent TEM configuration can be achieved by using an electron biprism located in the condenser aperture plane of the microscope [16.298]. Figure 16.27a shows a schematic ray diagram that illustrates the electron-optical configuration for this differential mode of off-axis TEM holography. The application of a positive voltage to the biprism results in the formation of two closely spaced, overlapping plane waves, which appear to originate from sources S_1 and

S_2 to create an interference fringe pattern at the specimen level. When the observation plane is defocused by a distance Δz with respect to the specimen plane, the two coherent beams produced by the beam splitter, which are labeled k_1 and k_2 in Fig. 16.27a, impinge upon different parts of the specimen. For a magnetic material, the difference in the component of the magnetic induction parallel to the biprism wire between these two points in the specimen plane determines the relative phase shift of the holographic fringes, thus giving differential phase contrast. Since the hologram is acquired under out-of-focus conditions, it is in effect a superposition of a pair of Fresnel images. The biprism voltage must be adjusted so that the feature of interest or the desired spatial resolution is sampled by at least three interference fringes. An appropriate post-specimen magnification should be chosen to ensure that the interference fringes are properly sampled by the recording medium. Figure 16.27b shows a composite phase image formed from a series of eight DPC holograms of a 30 nm-thick Co film. The fringe system was shifted progressively across the specimen plane between exposures. In addition to the holographic interference fringes, the image shows black and white lines that delineate walls between magnetic domains, with magnetization ripple visible within the domains. All of the image features are doubled due to the split incident beam. Figure 16.27c shows the final reconstructed DPC image obtained from Fig. 16.27b, in which the contrast is proportional to the component of the magnetic induction parallel to the holographic fringes. The arrow below the image indicates the direction of the component of the induction analyzed in this experiment. Several magnetic vortices, at which the measured field direction circles an imperfection in the film, are visible. One such vortex is indicated by an arrow in the lower

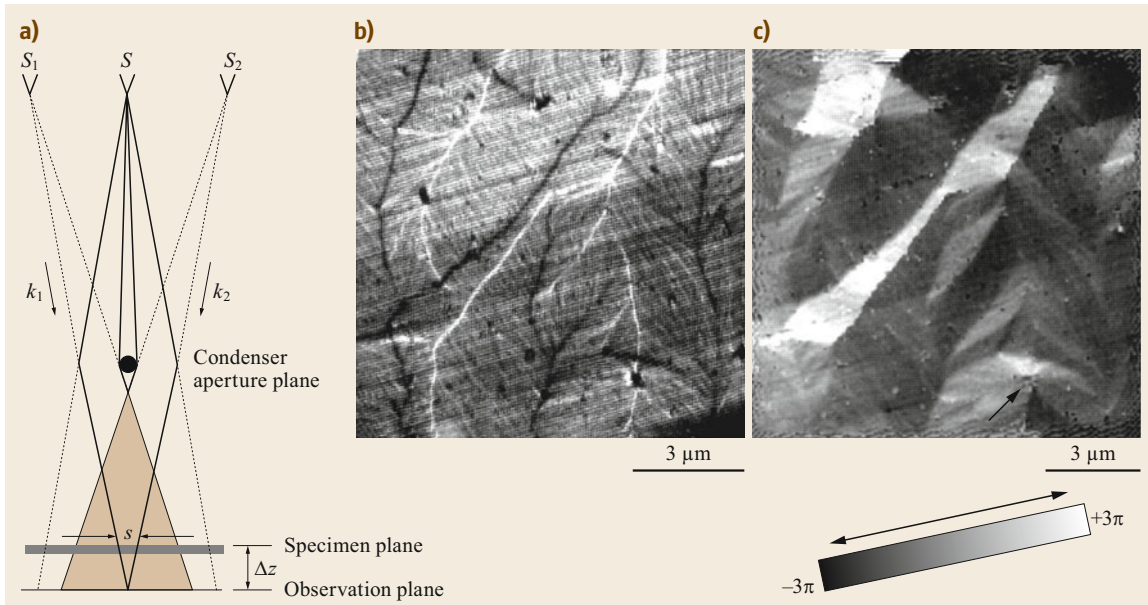


Fig. 16.27 (a) Schematic ray diagram for the differential mode of off-axis TEM electron holography. The symbols are defined in the text. (b) Composite differential mode electron hologram formed from a series of eight holograms of a 30 nm-thick Co film. (c) Differential phase contrast image obtained from the hologram shown in (b). The arrow below the image indicates the direction of the magnetic signal analyzed. The arrow close to the bottom of the image indicates the position of a magnetic vortex. Reprinted from [16.298], with permission from Elsevier

right corner of the image. For characterization of both components of the in-plane induction without removing the sample from the microscope, a rotating biprism or a rotating sample holder is required.

An alternative scheme that is conceptually similar to the differential mode of electron holography in the TEM, but which does not require the use of an electron biprism or a field-emission electron gun, is a technique known as amplitude division electron holography. Whereas conventional modes of electron holography involve splitting the wavefront of the incident illumination and thus require high spatial coherence to form interference fringes, this coherence requirement can be removed by dividing the amplitude of the electron beam instead of the wavefront. Division of the amplitude of the electron wave can be achieved by using a crystal film located before the specimen. The lattice fringes of the crystal film are then used as carrier fringes. The original configuration for this scheme involved placing the specimen in the selected-area-aperture plane of the microscope [16.299, 300]. The specimen can also be inserted into the normal object plane by placing a single-crystal thin film and the sample of interest on top of each other, in close proximity [16.301]. The single-crystal film is then tilted to a strong Bragg condition and used as an electron beam

splitter. As a result of the separation of the crystal and the specimen, the hologram plane contains two defocused images of the specimen that are shifted laterally with respect to one another. One of these images is carried by the direct beam and the other by the Bragg-reflected beam. When the distance between the two images is greater than the size of the object, the images separate perfectly and interfere with adjacent plane waves to form an off-axis electron hologram. Because the single crystal is in focus and the object is out of focus, a Fresnel electron hologram of the object is obtained. The defocus of the object can be corrected at the reconstruction stage by using a phase plate, although high coherence of the incident illumination is then required. The coherence used when forming the image therefore determines the spatial resolution of the final reconstructed image. Although amplitude division electron holography has several disadvantages over wavefront division holography, the final phase image is not affected by Fresnel diffraction from the edges of the biprism.

To increase the phase sensitivity of electron holography, an approach referred to as phase-shifting electron holography can be used. This approach is based on the acquisition of several off-axis holograms while the phase offset (the initial phase) of the image is

changed, either by tilting the incident electron beam or by shifting the biprism [16.302]. Electron holograms are recorded at successive values of the incident beam tilt, such that the phase is shifted by at least 2π over the image series. The fringe shift can be monitored in the complex Fourier spectra of the holograms. Although, in principle, three holograms can be used to reconstruct the object wave, in practice as many holograms as possible should be used to reduce noise. The advantages of the phase-shifting approach are greatly improved phase sensitivity and spatial resolution. Moreover, objects that are smaller than one fringe width can be reconstructed. Care is required if the object is out of focus, as tilting the beam will also induce an image shift between successive images. Very small phase shifts have been observed from individual unstained ferritin molecules using this approach [16.303]. More recent developments in phase-shifting electron holography have included the assessment and improvement of the precision of the technique [16.304, 305], applications to specimens that include doped semiconductors [16.306] and its implementation using alternative approaches such as stage scanning [16.307].

Electron holograms can be acquired at video rate and subsequently digitized and processed individually to record dynamic events, but this procedure is time-consuming. An alternative real-time approach for acquiring and processing holograms has been demonstrated by using a liquid-crystal panel to reconstruct holograms [16.308]. The holograms are recorded at TV rate and transferred to a liquid-crystal spatial light modulator, which is located at the output of a Mach-Zehnder interferometer. The liquid-crystal panel is illuminated using a He-Ne laser, and interference micrographs are observed at video rate on the monitor beside the microscope as the specimen is examined. In an alternative configuration, a liquid-crystal panel can also be used as a computer-controlled phase plate to correct for aberrations. Other approaches for achieving real-time electron holography have focused either on the use of multiple electron biprisms to visualize electromagnetic microfields directly [16.309, 310] or, more practically, on the use of faster computers for the reconstruction, visualization and interpretation of recorded electron holograms [16.311].

Whereas an off-axis electron hologram is formed by the interference of an object and a reference wave that propagate in different directions in the electron microscope, the simplest way of recording an electron hologram without using an electron biprism involves the use of the transmitted wave as the reference wave to perform in-line electron holography. Gabor's original paper described the reconstruction of an image by illuminating an in-line hologram with a parallel beam

of light and using a spherical aberration-correcting plate and an astigmatism corrector. The reconstructed image, however, is disturbed by the presence of a *ghost* or *conjugate* twin image [16.312]. If the hologram is recorded and subsequently illuminated by a plane wave, then the reconstructed image and a defocused conjugate image of the object are superimposed on each other. The most effective method for separating the twin images is to use Fraunhofer in-line holography. Here, in-line holograms are recorded in the Fraunhofer diffraction plane of the object [16.313, 314]. Under this condition, the conjugate image is so blurred that its effect on the reconstructed image is negligible [16.315]. Recent work has focused on the development of more advanced model-based [16.316] and model-independent [16.317–319] approaches for recovering phase information from in-line electron holograms, as well as on the combined application of in-line and off-axis electron holography [16.262, 320].

The STEM holographic mode used for DPC imaging, which has similarities to the TEM differential mode of electron holography described above, is a point-projection technique in which a stationary beam in a STEM is split by a biprism preceding the sample so that two mutually coherent electron point sources are formed just above the specimen. In this operating mode, the objective lens is excited weakly so that the hologram is formed in the diffraction plane rather than the image plane [16.321]. By greatly defocusing the objective lens, a shadow image of the object is formed, which has the appearance of a TEM hologram, although it is distorted by spherical aberration and defocus. The image magnification and the separation of the sources relative to the specimen are flexible in this configuration, and can be adjusted by changing the biprism voltage and/or the objective or post-specimen lens settings. The far-out-of-focus mode of STEM electron holography has been applied to the characterization of a range of magnetic materials [16.322, 323].

A rapid approach that can be used to visualize equiphase contours, known as double-exposure electron holography, involves superimposing a hologram of the specimen onto a reference hologram acquired under identical conditions, with the specimen removed from the field of view [16.324]. Interference effects between the holographic fringes in the two images then provide widely spaced, low-contrast bright and dark bands that reveal phase contours directly. By slightly defocusing the combined image, the unwanted finely spaced holographic interference fringes can be removed. The technique has been applied to imaging both electrostatic and magnetic fields and has recently been extended to the study of time-varying high-frequency electromagnetic fields in materials [16.325, 326].

A related approach involves the use of two parallel or perpendicular electron biprisms to generate an interference pattern between either three or four electron waves, respectively. Equiphase contours are then displayed in the recorded hologram. This method has been used to form images of electric fields outside charged latex and alumina particles and magnetic fields outside ferrite particles [16.327], as well as to expose a resist

to fabricate a 100 nm-period two-dimensional grating lithographically [16.328]. It has recently been discussed in the context of the formation of a lattice of nanoscale phase vortices [16.329]. Two parallel biprisms have also been used to form a *trapezoidal* biprism in order to perform double-exposure electron holography with the biprism voltage changed [16.330] and with the reference wave unaffected by the biprism voltage [16.331].

16.7 Discussion and Conclusions

In this chapter, we have described the technique of off-axis electron holography and have reviewed its application to a wide variety of materials. Results have been presented from the characterization of magnetic fields in arrangements of closely spaced nanocrystals, patterned elements and nanowires, and electrostatic fields in field emitters and doped semiconductors. We have described in situ experiments enabling magnetization reversal processes and the characterization of electrostatic fields in working semiconductor devices, and have highlighted the advantages of using digital approaches for recording and analyzing electron holograms. High-resolution electron holography and alternative modes of electron holography have also been described. Although the results that have been presented are specific to the dimensions and morphologies of the particular examples chosen, they illustrate the ways in which electron holography can be adapted to tackle different materials problems.

Future developments in electron holography will likely include the development and application of new forms of electron holography and instrumentation, the application of real-time electron holography to in situ studies of dynamic processes in materials in the presence of applied voltages, fields and elevated or reduced temperature, the experimental measurement of phase shifts of shaped electron wave functions [16.332], the introduction of new approaches for enhancing weak magnetic and electrostatic signals, the formulation of a better understanding of the effect of different TEM sample preparation techniques on phase images recorded from semiconductors and ferroelectrics, the development of approaches for reducing electron-beam-induced specimen charging and minimizing dynamical contributions to recorded phase images, the use of sophisticated simulations to model fringing fields outside TEM specimens [16.54], the development of new theoretical descriptions of electron holography [16.333] and the combination of elec-

tron holography with electron tomography to record both electrostatic and magnetic fields *inside* nanostructured materials in *three* dimensions rather than simply in projection [16.84, 92–94, 245–247, 333–337]. With regard to the application of electron holographic tomography to the characterization of magnetic vector fields inside materials in three dimensions, a significant challenge lies in the measurement and subtraction of the unwanted mean inner potential contribution to the measured phase shift at every one of the tilt angles required.

The unique capability of electron holography to provide quantitative information about magnetic and electrostatic fields in materials at a resolution approaching the nanometer scale, coupled with the increasing availability of field-emission gun transmission electron microscopes and quantitative digital recording, ensures that the technique has a very promising future.

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